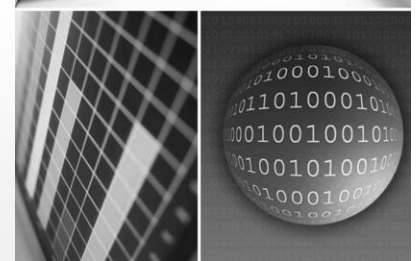


Agenda

- **Why is Encryption Hot in the Marketplace Today?**
- **Alternatives for Encryption on IBM System i and i5/OS**
 - Encrypt Data in your Database/Application
 - Encrypt Data using Middleware
 - Encrypting Data via an Encrypted ASP – V6R1
 - Encrypt Data via Tape Appliances
 - Encrypting Data via Tape Drives with Built-in Encryption
 - Overview of Encryption Solution on IBM Tape Drives
 - The Encryption Key Manager (EKM)
 - BRMS and Tape Encryption
 - Encryption - How to get Started



Why is Encryption Hot in the Marketplace Today?

Tape and Data Encryption

- **Many government agencies are requiring disclosure of security breaches**
 - 38 states have enacted legislation requiring notification in cases of security breaches
 - Source: www.Privacyrights.org
 - Similar federal legislation has been proposed
 - Source: http://www.epic.org/privacy/bill_track.html
- **Industry organizations are also increasing scrutiny of security procedures**
 - Source: Payment Card Industry Security Audit Procedures Version 1
- **Over 90 million consumers have been notified of potential security breaches regarding personal information since 2/2005**
 - Source: www.Privacyrights.org



In the News

TAPES LOST! Privacy Commission Contacted

In a move that could fuel efforts to change data storage practices, records management provider ABC Co has admitted losing a customer's backup tapes and is recommending that customers begin encrypting tapes.

Although data encryption is not a new issue, it is a growing business security focus. Increased awareness of customer privacy, an increase in identity theft crimes, and more technical savvy criminals are all contributing.

New state, federal and industry regulations to protect personal data, credit card numbers, etc, are making this an issue of interest to many businesses

Tape and Data Encryption



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Costs from Security Breach

Direct Costs

- Fines and penalties
- Customer notification
 - letters
 - postage
 - hotline
 - credit checks
- Public Relations costs
- Legal Actions

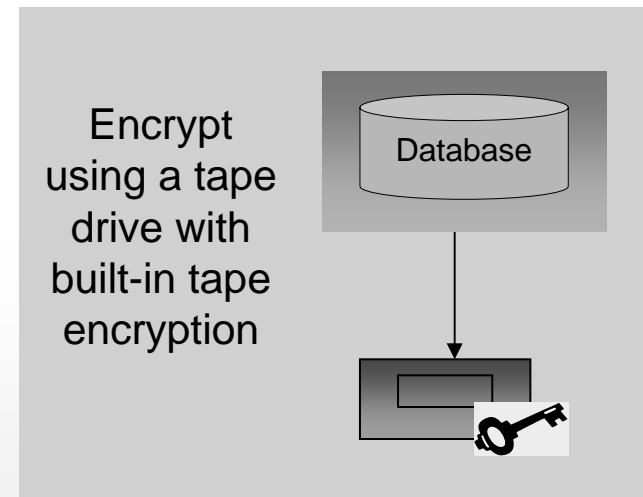
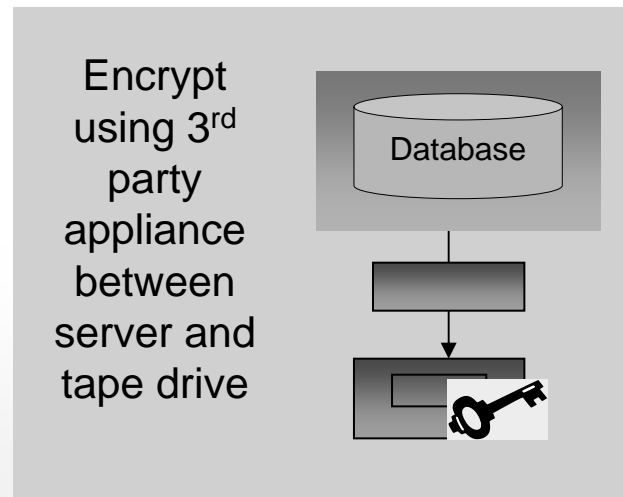
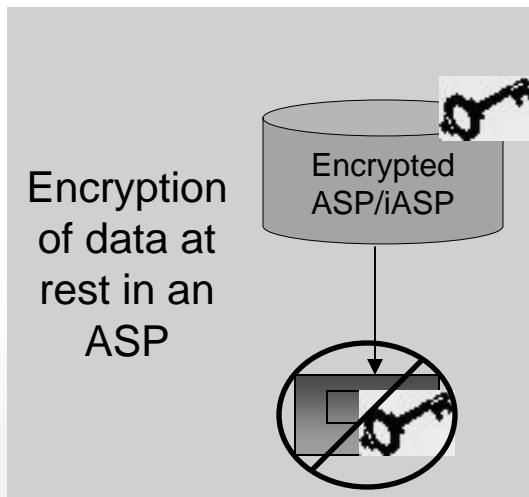
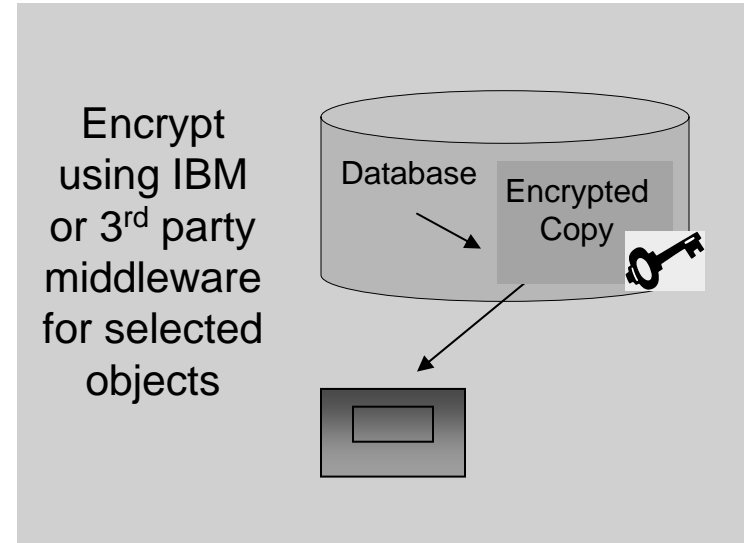
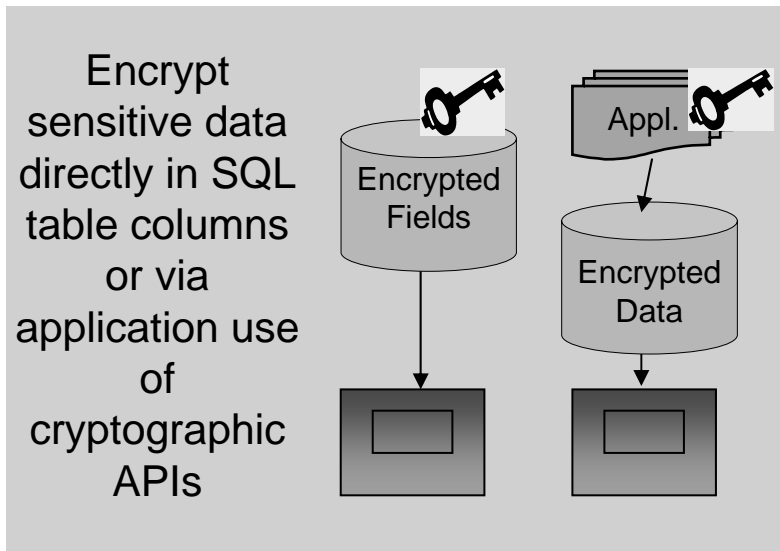
\$\$

Indirect Costs

- Loss of reputation
- Loss of customer goodwill
- Government investigations

Alternatives for Encryption on IBM System i

Techniques for Encrypting Data on System i



i5/OS V6R1 Enhancements

- **i5/OS V6R1 cryptographic key management enhancements**
- **Encrypted BRMS backups of user data to tape or virtual tape**
- **Encryption of data residing in an ASP (user and independent)**



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Encrypting Data in your Database/Application

Encrypting Data in your Database/Application

Four methods to choose from:

- DB2 column encryption (V5R3 onwards)
- i5/OS cryptographic API's (V5R3 onwards)
- Java cryptographic extensions (API's)
- 4764 cryptographic co-processor and API's

Let's look at these in more detail!

DB2 Column Encryption



i5/OS Crypto API's



Java Crypto Extensions (API's)



4764 Crypto Co-Processor and API's



Encrypting Data in your Database/Application

DB2 column encryption



- Built-in to i5/OS from V5R3 onwards
- Native DB2: use “Before Insert” and “Update” triggers
- SQL: use SQL functions and “Instead of” triggers
- Details available in the i5/OS Information Center

DB2 Column Encryption



Encrypting Data in your Database/Application

i5/OS cryptographic API's

- Built-in to i5/OS from V5R3 onwards
- Called by an application program
- Use industry standard encryption algorithms
- V5R3: Application must handle keys
- V5R4: Key Mgmt APIs store master keys below the Machine Interface (MI) – i.e., never in the clear in the application



Encryption Services Provided

Encryption
Data Integrity
Authentication
Digital Signature
Random #s

DB2 Column Encryption



i5/OS Crypto API's



Encrypting Data in your Database/Application – V6R1

- **i5/OS V6R1 cryptographic key management enhancements**
 - GUI and CL interface to manage master keys
 - New master key for ASP encryption (256 bit)
 - New master key for save/restore (256 bit)
 - GUI and CL interface to manage i5/OS keystore and keys



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Master Key Management – V6R1



Manage Master Keys

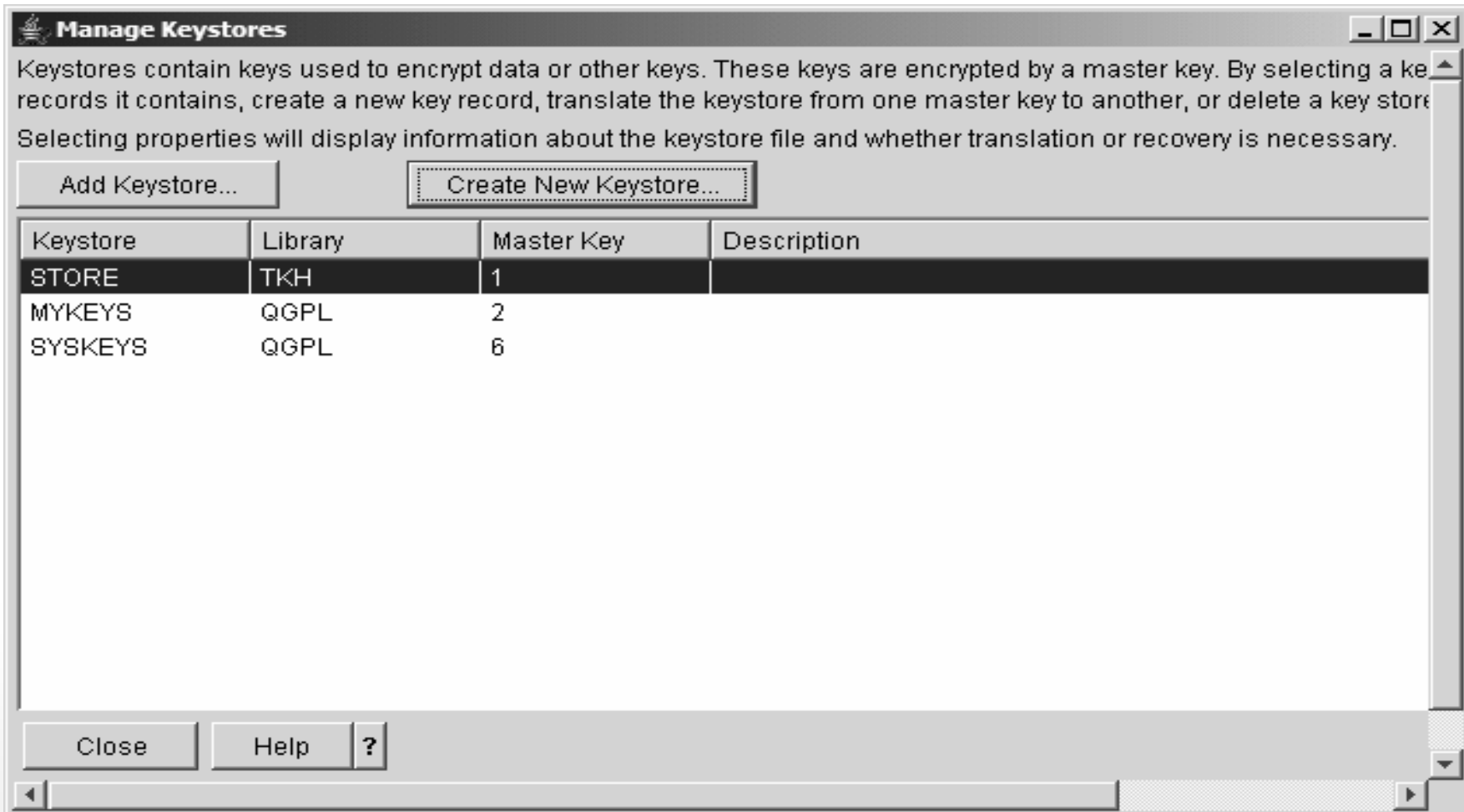
Master keys are used to encrypt other keys. You can load, set, clear or display the properties of the selected master key.

Master Key	Status	Current Key Verification Value
1	Set	ED0CF6D746C7B560CAFF22FF7F82EFC1D1CEE19
2	Set	D1922FE0ADF03FFCBA16631C8D490644E4ADC0E7
3	Set	1B2B8913098DEB8EC4DDBDAEDB8B9E38CCF70737
4	Not set	
5	Not set	
6	Set	1B2B8913098DEB8EC4DDBDAEDB8B9E38CCF70737
7	Not set	
8	Not set	
SAVRST	Default	16C1D3E3C073E77DB28F33E81EC165313318CE54
ASP	Not set	

Close Help ?

Set of master key 2 was successful.

Keystore Management – V6R1



Manage Keystores

Keystores contain keys used to encrypt data or other keys. These keys are encrypted by a master key. By selecting a keystore, you can view the records it contains, create a new key record, translate the keystore from one master key to another, or delete a key store. Selecting properties will display information about the keystore file and whether translation or recovery is necessary.

Keystore	Library	Master Key	Description
STORE	TKH	1	
MYKEYS	QGPL	2	
SYSKEYS	QGPL	6	

Keystore Management – V6R1



Keystore Contents [X]

Keystore file: MYKEYS
Keystore library: QGPL
Master Key: 2

Key Record Label	Type	Key Size	Translation Status	Date Translated
AES KEY 1	... AES	16	Current	January 11, 2008 1:35:07 PM CST
Triple DES key	... Triple DES	16	Current	January 11, 2008 1:35:33 PM CST
My Private key	... RSA private	1024	Current	January 11, 2008 1:36:05 PM CST
Another AES key	... AES	16	Current	January 11, 2008 1:36:44 PM CST

?

Encrypting Data in your Database/Application – V6R1

- **i5/OS V6R1 cryptographic key management enhancements**
 - Save/restore of software master keys
 - Improved SSL acceleration using the 4764 Cryptographic coprocessor
 - New algorithm modes
 - New hardware based JCE provider



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Encrypting Data in your Database/Application

Java cryptographic extensions (API's)



- JCE for short
- Part of i5/OS Developer Toolkit for Java (5722-JV1)
- Similar services to i5/OS crypto APIs
 - Adds Digital Signature Algorithm (seldom used)
- Application must manage/store encryption keys

Encryption Services Provided

Encryption
Data Integrity
Authentication
Digital Signature
Random #s
Digital Signature Algorithm

DB2 Column Encryption



i5/OS Crypto API's



Java Crypto Extensions (API's)



Encrypting Data in your Database/Application

4764 cryptographic co-processor and API's



- Orderable hardware feature
- Application program calls the APIs to access the encryption functions of the co-processor
- API's standard across platforms
- Main advantage: key is stored in hardware
 - FIPS 140 security standard

Encryption Services Provided

Encryption

Data Integrity

Authentication

Digital Signature

Random #s

Digital Signature Algorithm

Financial PIN

Europay

MC Visa

DB2 Column Encryption



i5/OS Crypto API's



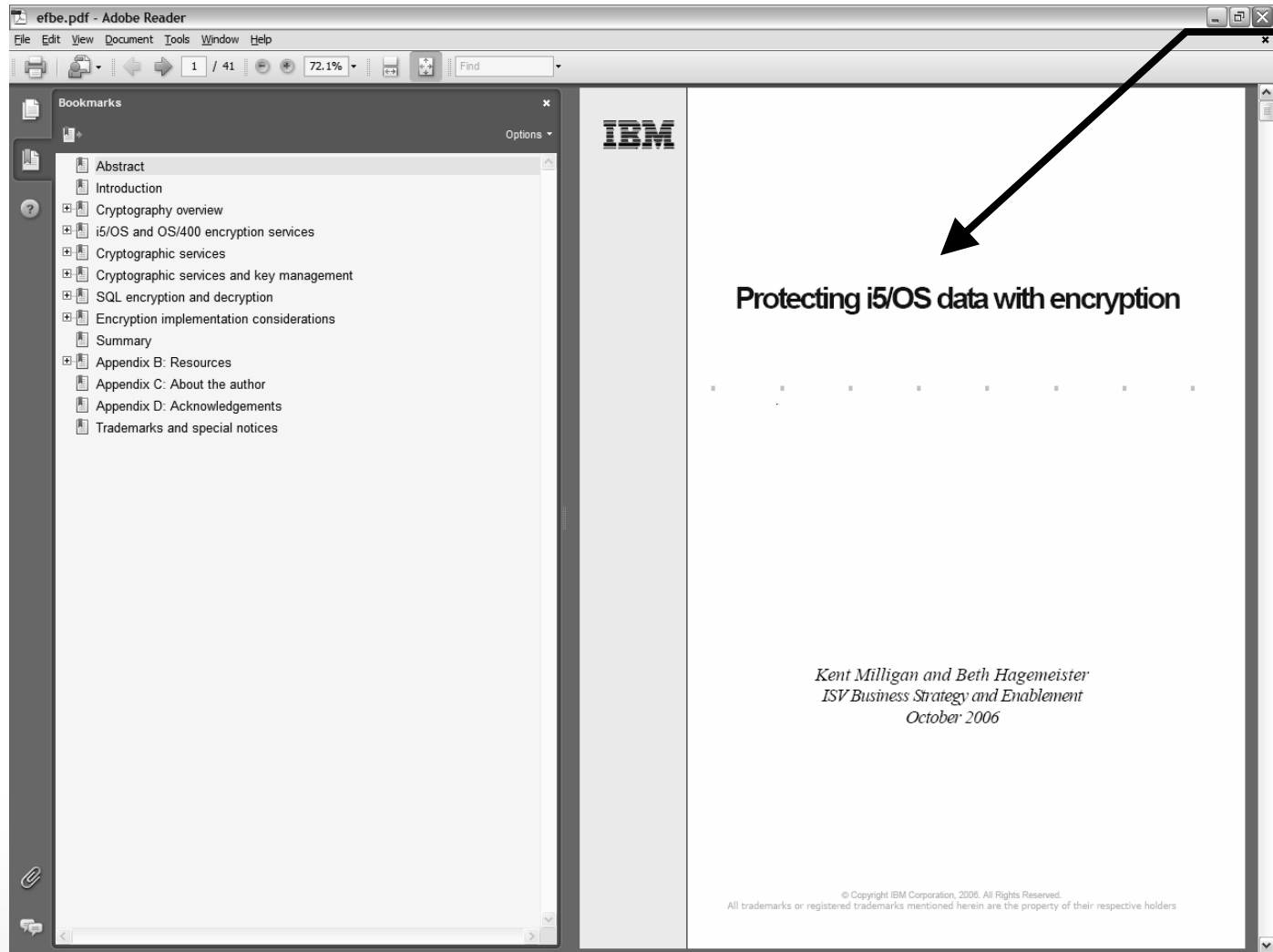
Java Crypto Extensions (API's)



4764 Crypto Co-Processor and API's



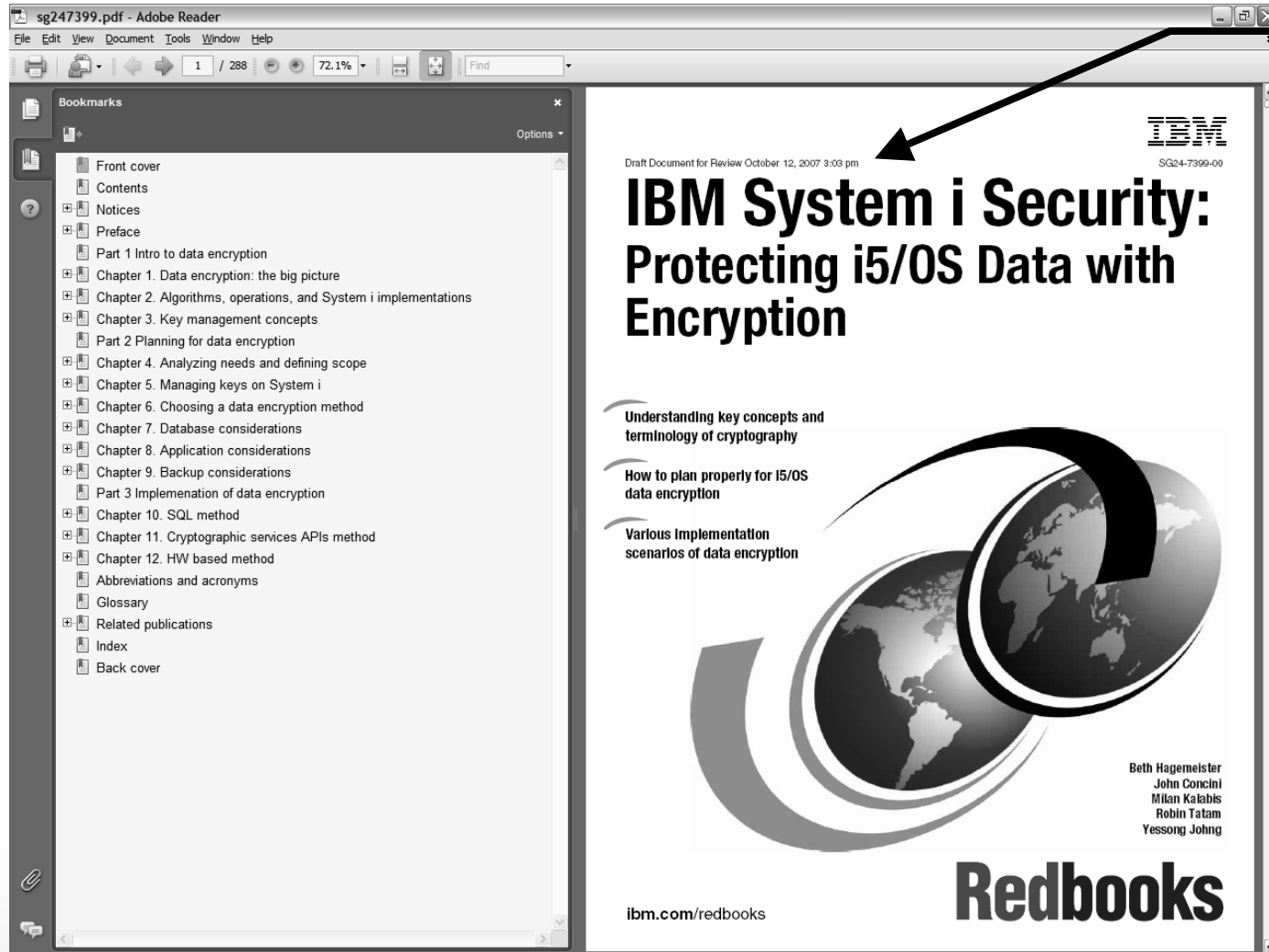
Protecting i5/OS Data with Encryption Whitepaper



Great whitepaper that came out last year

<http://www-03.ibm.com/servers/enable/site/education/wp/efbe/efbe.pdf>

Protecting i5/OS Data with Encryption Redbook



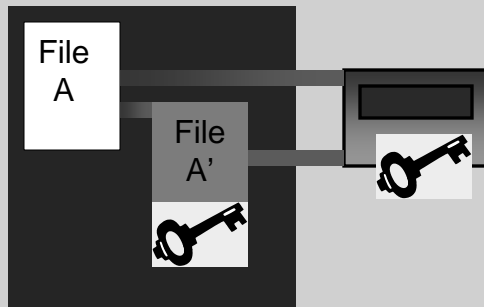
New Redbook currently in a draft version

<http://www.redbooks.ibm.com/redpieces/pdfs/sg247399.pdf>

Encrypting Data using Middleware

Non-IBM Middleware for Encryption

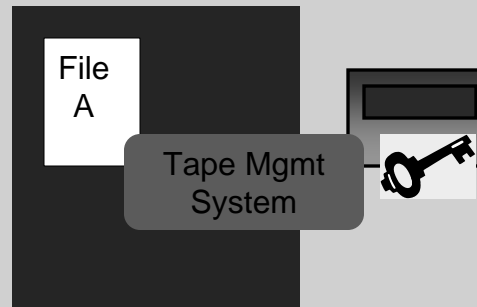
Encrypt then save/transmit



Benefits:

- Typically low cost
- Good for small amount of data to encrypt and/or long backup window

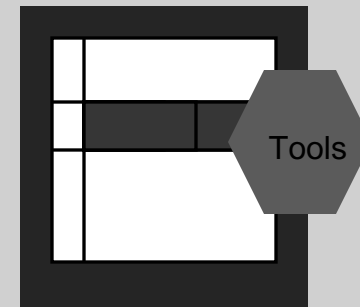
Tape Management Systems



Watch for:

- Performance
- Extra disk required
- Key management functions
- Added complexity

Encryption Tools



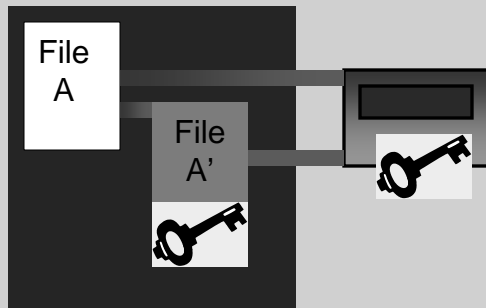
Example:

Records can grow when they are encrypted. Tools/techniques are available to help .

** Note: These products are supported by the vendor, not by IBM, and are included here only as examples

Non-IBM Middleware for Encryption

Encrypt then save/transmit

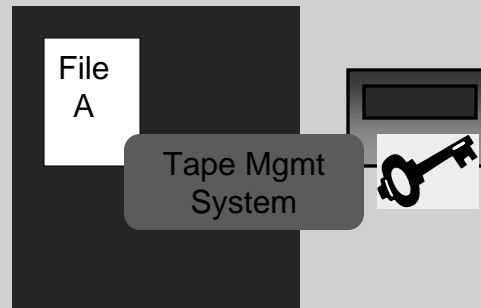


PKWARE

PATRICK TOWNSEND
& ASSOCIATES

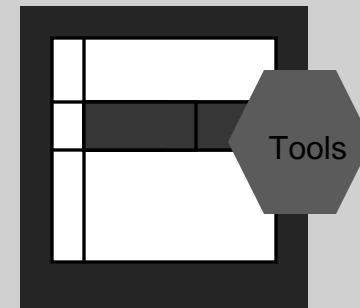
LINOMA SOFTWARE

Tape Management Systems



help/systems
INC.

Encryption Tools



BRIDGES
INC.

** Note: These products are supported by the vendor, not by IBM, and are included here only as examples

Third-party Host Software-based Encryption

- **Multiple vendors offer System i software-based encryption products, and many offer trial downloads of their product so that application functionality can be explored. Examples include:**

- **Help/Systems**

- <http://www.helpsystems.com/ops/save.html>

- **Linoma Software**

- <http://www.linomasoftware.com/products/transferanywhere>

- **Patrick Townsend & Associates, Inc**

- <http://www.patowndsend.com/AES.htm>

- **PKWARE, Inc**

- http://www.pkware.com/index.php?option=com_content&task=view&id=37&Itemid=84

- **NuBridges**

- <http://www.nubridges.com/>



** Note: These products are supported by the vendor, not by IBM, and are included here only as examples

IBM Middleware for Encryption – V6R1

- **Encrypted BRMS backups of user data to tape or virtual tape**
 - Encrypted Backup Enablement – i5/OS Option 44
 - This is a priced option
- **BRMS enabled encryption will be supported for:**
 - Any tape library
 - Standalone tape drive
 - Virtual tape
 - Media duplication
- **What can be encrypted?**
 - All user data
 - The operating system cannot be encrypted
 - Tape labels will not be encrypted
- **Performance considerations**
 - Software encryption will require additional processor capacity



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Encrypting Data via an Encrypted ASP – V6R1

Encryption of Data at Rest on Disk – V6R1

- **New in i5/OS V6R1, encryption of data residing in an ASP (user and independent)**
 - Encrypted ASP Enablement – i5/OS Option 45
 - This is a priced option



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Encryption of Data at Rest on Disk – V6R1



- **Meet regulatory requirements being imposed on our customers**
- **Reduce or eliminate the need for application providers to encrypt data**
- **Provide a more secure solution to help protect data**
 - Key management done by the system
- **Encryption of data at rest**
 - Software solution
 - Minimal key management requirements
- **Threats**
 - Protection of ‘data in flight’ to SAN
 - Protection of ‘data in flight’ in cross-site mirroring environment
 - Data loss
 - Physical loss of a disk drive (switched ASP)
 - Return a drive to a vendor (drive replacement or upgrade)

Implementation Approach – V6R1



- **Provide the capability to encrypt all data residing on an ASP**
- **Cryptographic keys will be stored in software but protected by “isolated” storage and master keys**
- **Minimal change required to an application**
 - ASP level changes may be required
- **Encryption/Decryption done at low level in SW**
 - Storage Management in LIC (Write and Read to/from disk)
- **Encryption keys, for switched ASPs, stored in the Independent ASP, protected by the master key in the system ASP**
- **Encryption keys for encrypted User ASP stored in the system ASP**
- **AES (Advanced Encryption Standard) algorithm**
- **Randomly generated 256 bit encryption keys (for both independent and user ASP)**

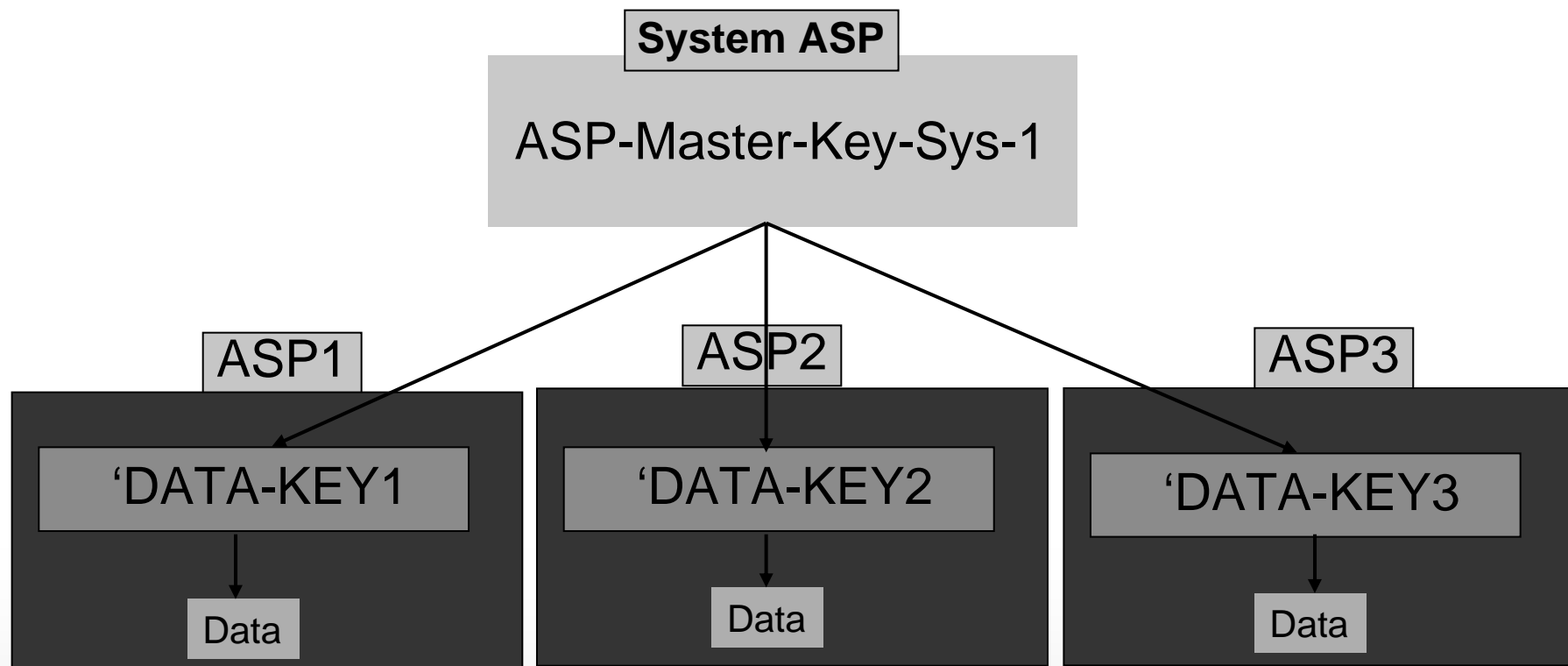
Restrictions in V6R1



- **Encryption decision must be specified during ASP configuration. No option to turn on/off encryption after configuration**
- **Master keys can be changed by the system administrator**
- **No option to change data encryption keys after configuration**

ASP Key Management – Independent ASP – V6R1

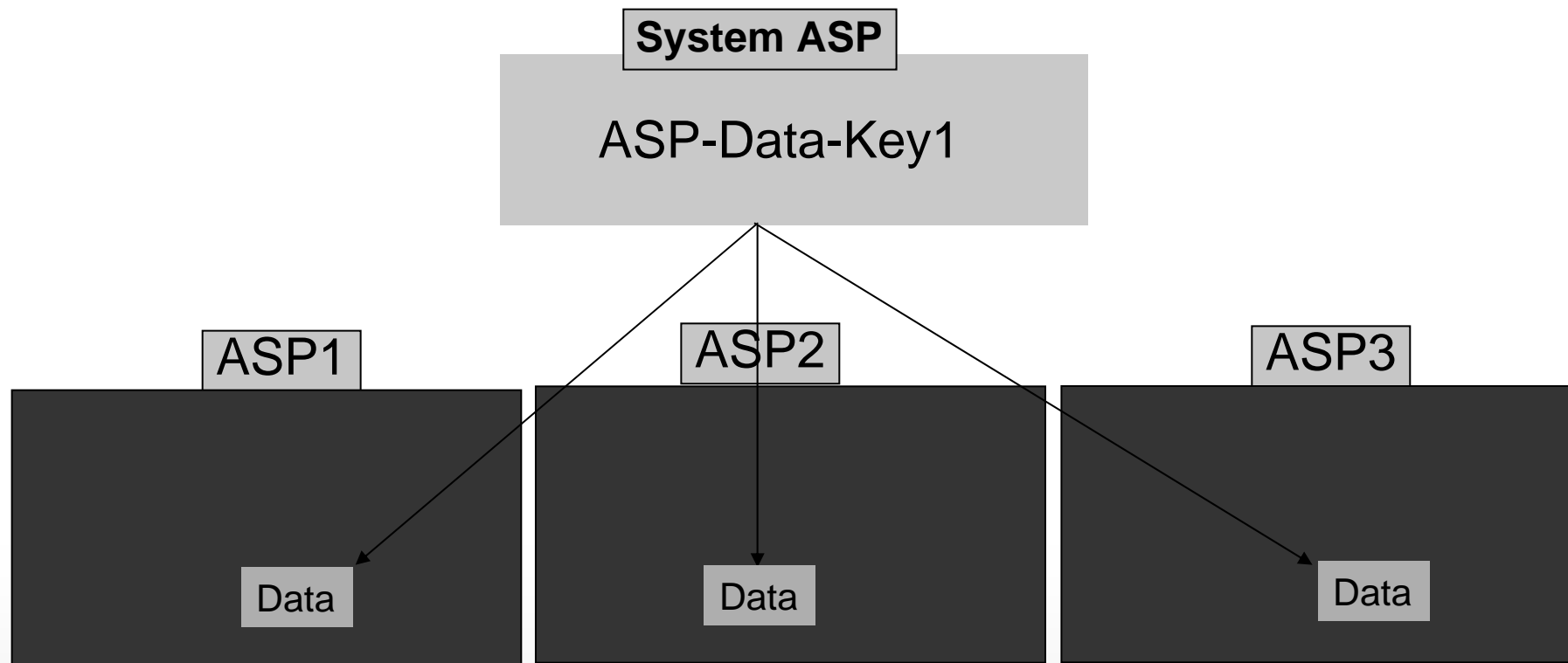
- Master Key, in system ASP, protects data encryption key stored on the IASP
- Data encryption key is unique for each IASP



REQUIREMENT: ASP Master Key equal on all systems in cluster

ASP Key Management – User ASP – V6R1

- Data encryption key is stored in the system ASP
- One data encryption key is used to protect all User ASPs



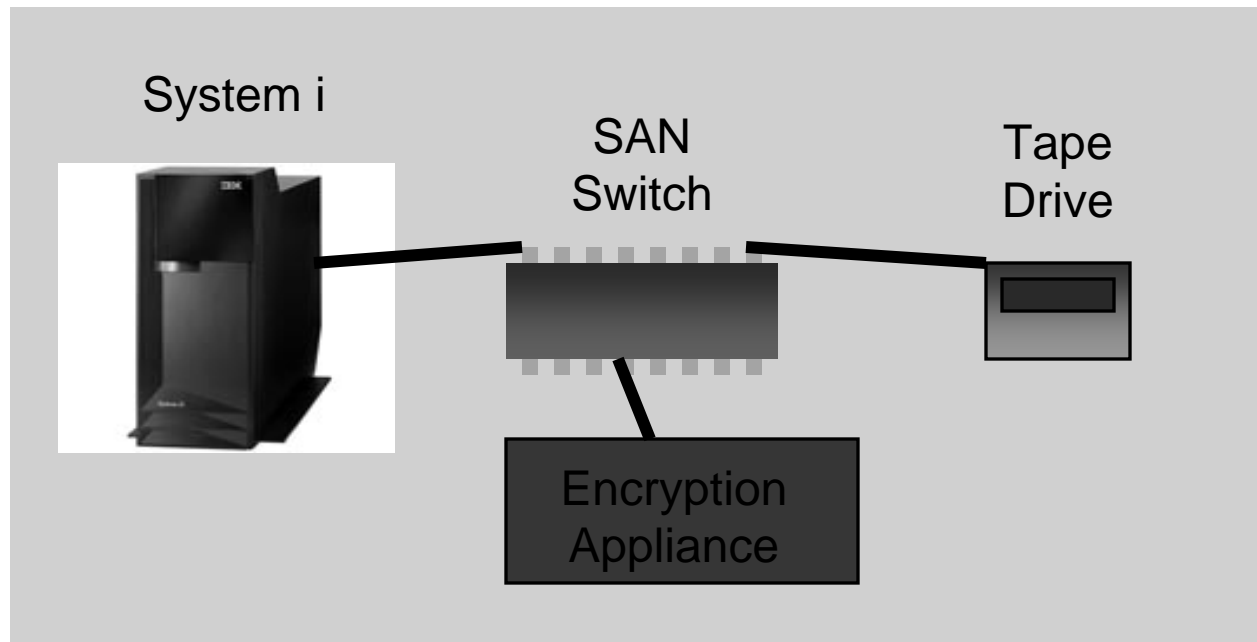
Encryption Performance – V6R1



- **Encryption is CPU intensive**
- **Certain types of applications can perform well, others may have problems**
 - Encrypting/decrypting many pages (objects) when CPU bound will be a problem
 - Encrypting/decrypting many pages (objects) when CPU capacity is available will NOT be a problem
 - Disk paging rate of the application will determine feasibility
- **AES Algorithm**
 - ~85MB per second on single dedicated POWER5 processor
 - ~22,000 4K pages per second

Encrypting Data via Tape Appliances

Non-IBM External Tape Encryption Appliances



Benefits

- Don't need latest tape drives

Watch For

- Performance, especially if appliance encrypts prior to compaction
- Recovery/alternate IPL testing
- Key management

Examples:

Decru, Inc

<http://www.decru.com/products/dsSseries.htm>



NeoScale Systems, Inc

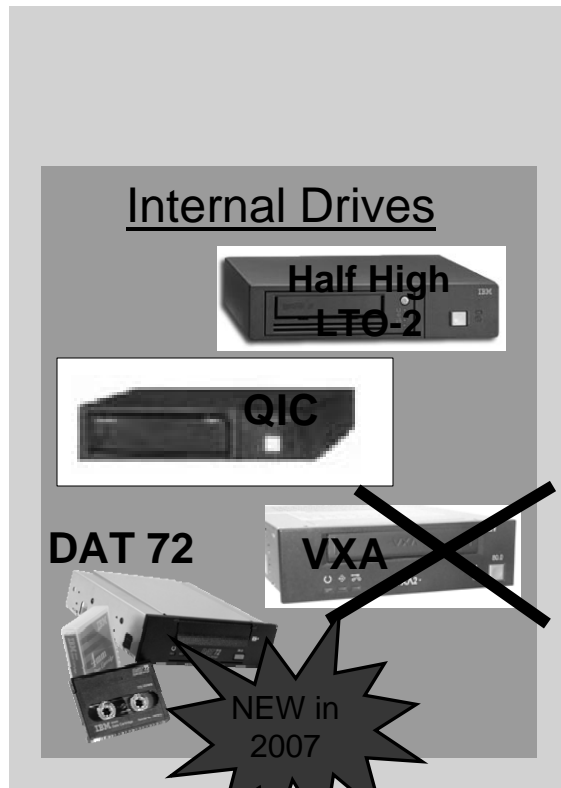
http://www.neoscale.com/English/Products/CryptoStor_Tape.html



** Note: These products are supported by the vendor, not by IBM, and are included here only as examples

Encrypting Data via Tape Drives with Built-in Encryption

Current IBM Tape Product Line for System i

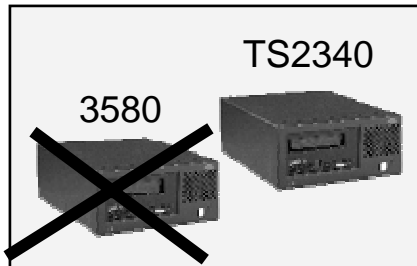


- Low cost
- Good capacity
- Good speed
- SCSI only

- Low cost
- High capacity
- Fast streaming operations

- High performance
- High capacity
- Industrial strength
- Fast streaming and start/stop operations

LTO Ultrium Tape Family



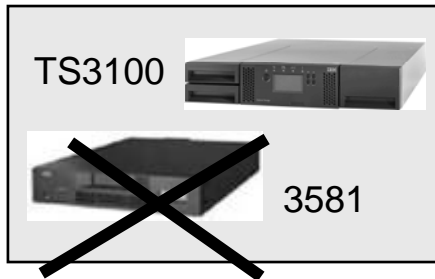
The LTO4 Drives can be placed in the current LTO tape family devices

- TS2340
- TS3100
- TS3200
- TS3310
- TS3500

LTO4 new in 2007



TS2340 does NOT support encryption on System i



Encryption is supported for FIBRE LTO4 drives in the TS3100 and up

	3580	TS2340	3581	TS3100	3582	TS3200	3583	TS3310	3584	TS3500
Machine Name		3580		3573-L2U		3573-L4U		3576		3584
Max # drives	1	1	1	1	2	2	6	18	192	
Max # Cartridges	1	1	7 or 8 (*)	22+1	24	44+3	72	396	>6200	
Partition Capable	No	No	No	No	Yes	Yes	Yes	Yes	Yes	
LVD Drives	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	No
SAS Drives (not i)	No	Yes	No	Yes	No	Yes	No	Yes	No	No
Fibre Drives	No	No	2 Gbit	4 Gbit	2 Gbit	4 Gbit	2 Gbit	4 Gbit	2 Gbit	4Gbit

Enterprise Tape Family

Encryption is supported for TS1120 drives in the TS3400 and TS3500 (and 3494), but not standalone drives



TS1120 Standalone Drive



TS3400

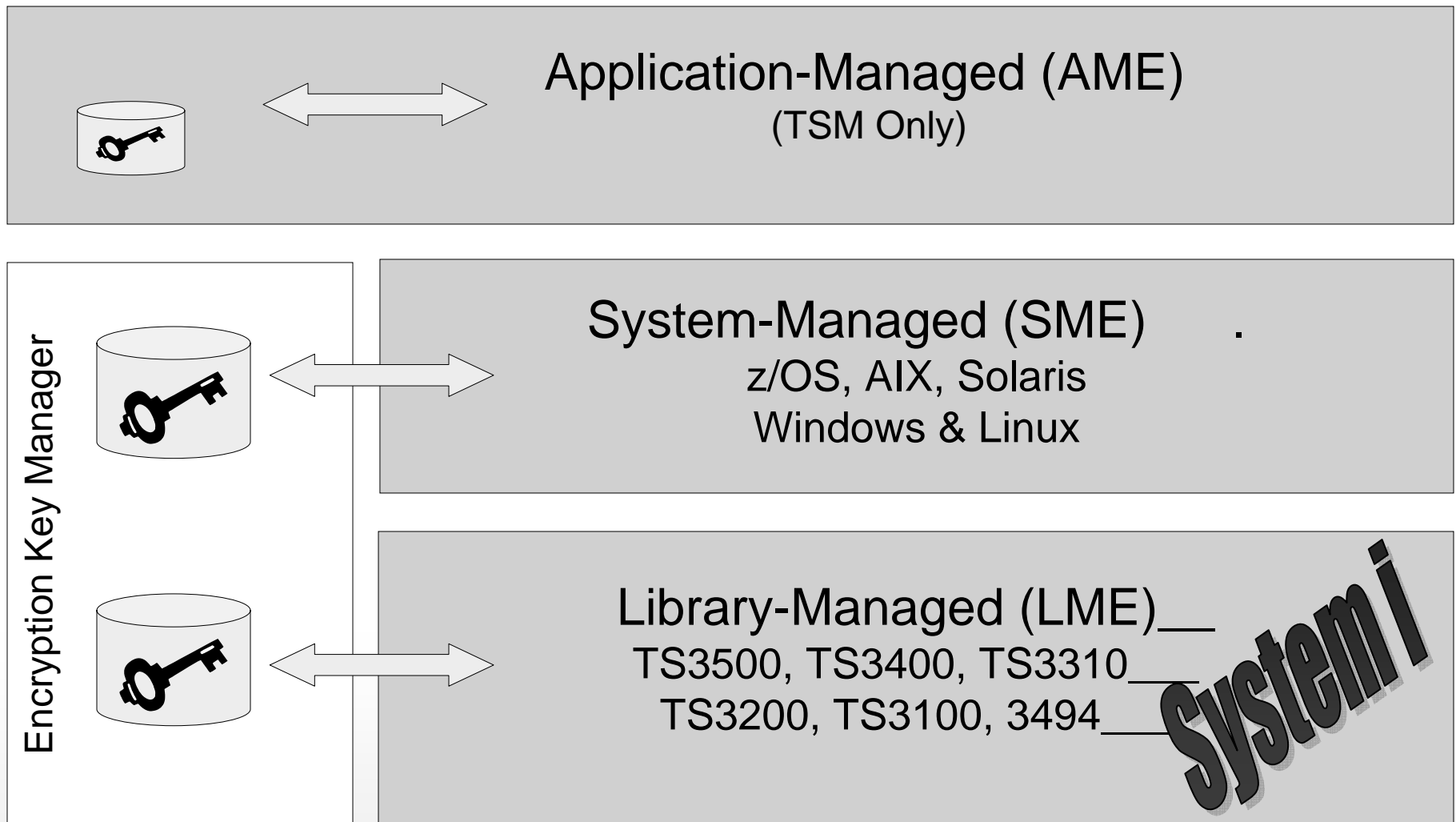


TS3500

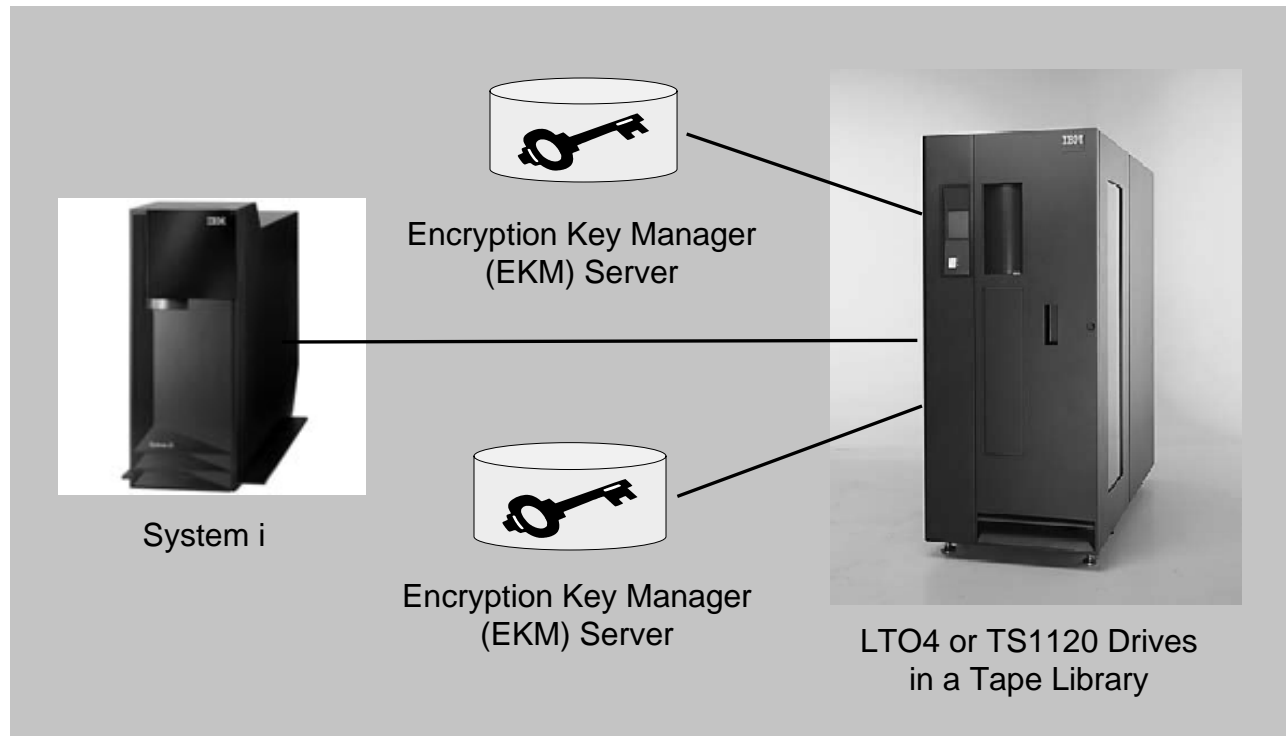
	TS1120 Standalone	TS3400	TS3500
Machine Name	3592-E05	3577-L5U	3584
Max # drives	1	2	192
Max # Cartridges	1	18	>6200
Partition Capable	No	Yes	Yes
LVD Drives	No	No	No (for TS1120)
Fibre Drives	4 Gbit	4 Gbit	4 Gbit (for TS1120)
Library Managed Encryption Capable	No	Yes	Yes

Overview of Encryption Solution on IBM Tape Drives

Encryption Methods



System i Tape Encryption on IBM Tape Drives



How does it work?

- System i sends the backup to the tape library
- If the drive has encryption turned on, then the library gets the keys from the EKM
- The drive/library writes the save
- BRMS is recommended to keep encrypted/non-encrypted tapes separate

Components

- Encryption capable tape drive(s) – fibre LTO4 or TS1120
- A tape library – TS3100/3200/3310, TS3400, TS3500, 3494
- Multiple Encryption Key Managers (EKMs)
- Suitable drive/library/EKM at recovery/DR/HA site to restore

The Encryption Key Manager (EKM)

The Encryption Key Manager (EKM) – Details

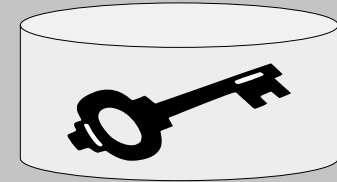
EKM runs in Java on the following platforms:

IBM operating Systems

- i5/OS V5R3 and above
- AIX V5R2 and above
- System z operating systems

Non-IBM operating Systems

- Windows
- Linux
- HP
- Sun



How to get the latest copy of the EKM code:

- Download from <http://www-1.ibm.com/support/docview.wss?&uid=ssg1S4000504>

How to get the IBM Java Runtime Environment (IBM JRE)

- For i5/OS: get the no-charge “IBM Developer Kit for Java” (5722-JV1)
- For other platforms – follow the links by platform from the EKM web site above to get either a code download, or to order the no-charge “IBM TotalStorage Productivity Center – Limited Edition” CD

Installation Instructions

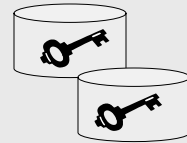
- Get the EKM “Introduction, Planning and User’s Guide” (GA76-0418) from the EKM web site above

The Encryption Key Manager (EKM) – IMPORTANT

Primary Site

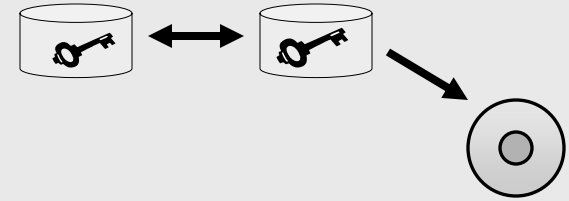


TEST YOUR RECOVERY CAREFULLY!



Run Multiple EKMs

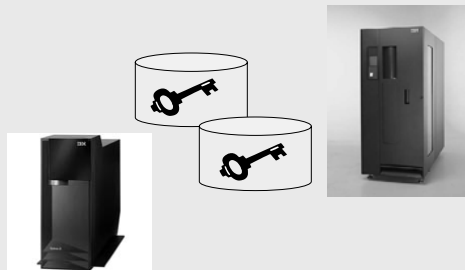
(so backups can still run when one is down)



Export-Synch / Save Keys

- Export-Synch keys on all EKMs each time keys change
- Keep offsite backup of EKM

Disaster Recovery Site



Comparable DR Site Gear

- Encryption Capable Drive / Library
- Access to EKMs



Don't Encrypt EKM

- Run EKM on a system/LPAR where none of the saves will be encrypted

BRMS and Tape Encryption

BRMS and Tape Encryption

BRMS is recommended for tape libraries and for tape encryption

BRMS

Media Class for Regular Tapes
(for TS1120, use Density FMT3592A2)



Media Class for Encrypted Tapes
(for TS1120 use density FMT3592A2E)



Scratch Encryption Policy

Regular Volumes

Vol1 to Vol 3

Encrypted Volumes

Vol4 to Vol 6

BRMS and Tape Encryption

- In TS3500 and 3494, user needs to keep encrypted / non-encrypted media inventories in synch between BRMS and Tape Library records
- BRMS PTFs for “Encryption Awareness” on TS1120 drives will help

SI24932 - V5R2M0
SI24933 - V5R3M0
SI24934 - V5R4M0

These PTFs provide a new Media Density for TS1120 “FMT3592A2E” (the final E stands for “Encrypted”!)

- LTO4 does not have a special density for encrypted tapes

Encryption - How to get Started

Encryption – Getting Started

Careful Planning is required

- **Encryption strategy**

- What data will / won't be encrypted?
- Which encryption techniques should be used?
- Which vendor should be selected?
- What other companies need to exchange data with us?

- **Key management strategy**

- Which platform should run the EKM? Where should it be located?
- What keys are required and how often will they change?
- What is the HA and DR strategy for the keys?
- Should you use enterprise-wide keys, or segment by platform or ??

IBM has service offerings to help you get started as quickly and smoothly as possible

References – Encrypting Data in your Database/Application

- **i5/OS Information Center**
 - <http://publib.boulder.ibm.com/infocenter/series/v5r4/index.jsp>
- **i5/OS Cryptographic Services APIs**
 - <http://publib.boulder.ibm.com/infocenter/series/v5r4/topic/apis/catcrypt.htm>
- **Java Cryptography Extension (JCE)**
 - <http://publib.boulder.ibm.com/infocenter/series/v5r4/topic/rzaha/rzahajce.htm>
- **System i cryptographic hardware: 4764/4758 Cryptographic Coprocessors**
 - <http://publib.boulder.ibm.com/infocenter/series/v5r4/topic/rzajc/rzajcco4758.htm>
- **DB2 Column Encryption – Scalar Functions**
 - <http://publib.boulder.ibm.com/infocenter/series/v5r4/topic/db2/rbafzmstscale.htm>
- **i5/OS Secure Sockets Layer (SSL)**
 - <http://publib.boulder.ibm.com/infocenter/series/v5r4/topic/rzain/rzainoverview.htm>
- **i5/OS Digital Certificate Manager (DCM)**
 - <http://publib.boulder.ibm.com/infocenter/series/v5r4/topic/rzahu/rzahurazhudigitalcertmngmnt.htm>
- **i5/OS Virtual Private Networking (VPN)**
 - <http://publib.boulder.ibm.com/infocenter/series/v5r4/topic/rzaja/rzajagetstart.htm>
- **System i Performance Capabilities Reference contains crypto performance information**
 - <http://publib.boulder.ibm.com/infocenter/series/v5r4/topic/books/sc410607.pdf>

References – Tape Drive Encryption

- **TS1120/TS3500 Tape Encryption on System i – Whitepaper**
 - <http://www.ibm.com/support/techdocs/atmastr.nsf/WebIndex/TD103557>

- **IBM Encryption Key Manager Code and User's Guide**
 - <http://www-1.ibm.com/support/docview.wss?&uid=ssg1S4000504>

- **IBM System Storage TS1120 Tape Encryption: Planning, Implementation and Usage Guide - Redbook**
 - <http://www.redbooks.ibm.com/redbooks/pdfs/sg247320.pdf>

** This Redbook is currently being updated to include LTO4 encryption. Once done, the new title will be "IBM System Storage Tape Encryption Solutions"

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