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# The Evolution of the IBM i Architecture



Steve Will  
IBM i Chief Architect  
@Steve\_Will\_IBMi  
[http://bit.ly/you\\_and\\_i\\_blog](http://bit.ly/you_and_i_blog)

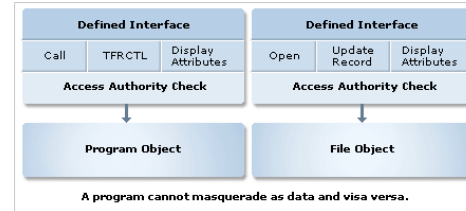
# IBM i Architecture



## DB2 for i & Single Level Storage



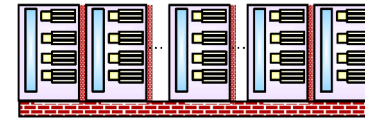
## Security & Integrity - Object Based



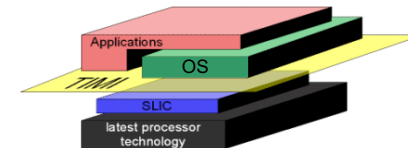
## Integration & PASE



## Multi-Workload Virtualization



## Technology Independent Machine Interface



*A system designed for business*

Hey! Wait a minute.

Hey! Wait a minute.





Hey! Wait a minute.





**LET ME EXPLAIN...**



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**2008**



**2000**

**IBM iSeries**



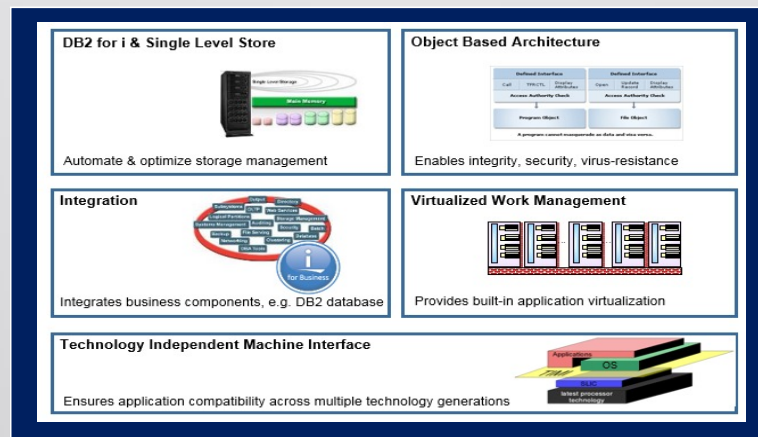
**1988**

**AS/400®**

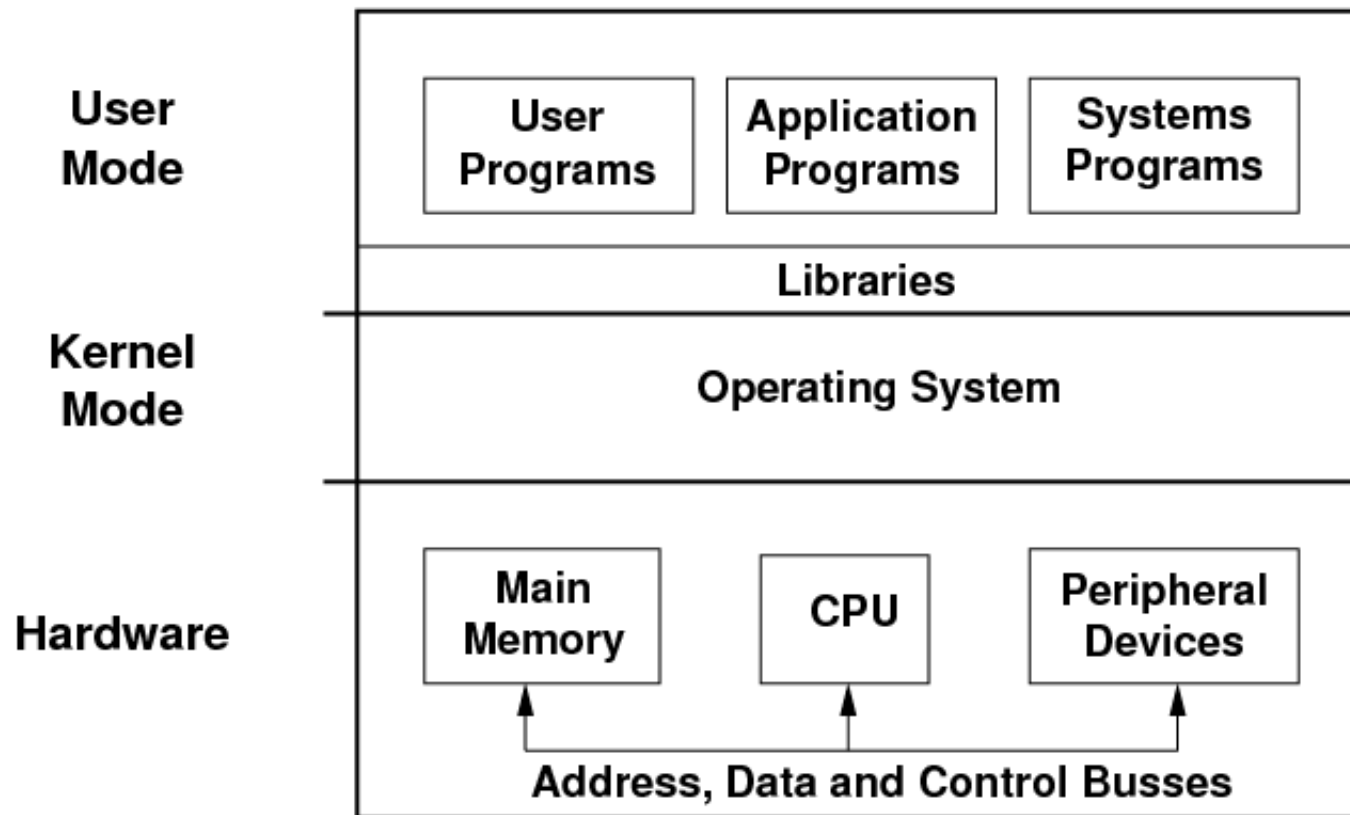
System/38 (1978)

System/36 (1983)

# ARCHITECTURE

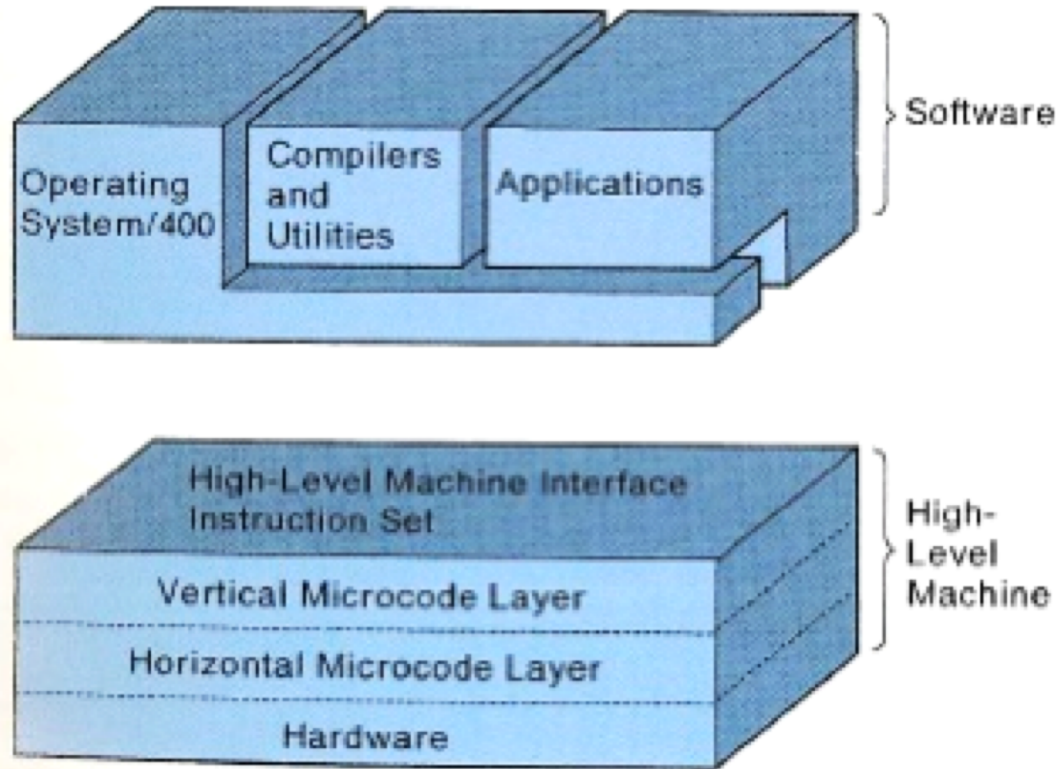


# General View of an OS Architecture



<http://minnie.tuhs.org/CompArch/Lectures/week01.html>

# Layered Architecture of OS/400

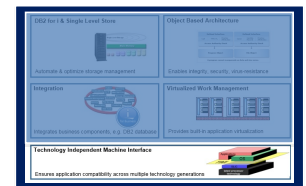


Applications are compiled to an intermediate language, not processor instructions.

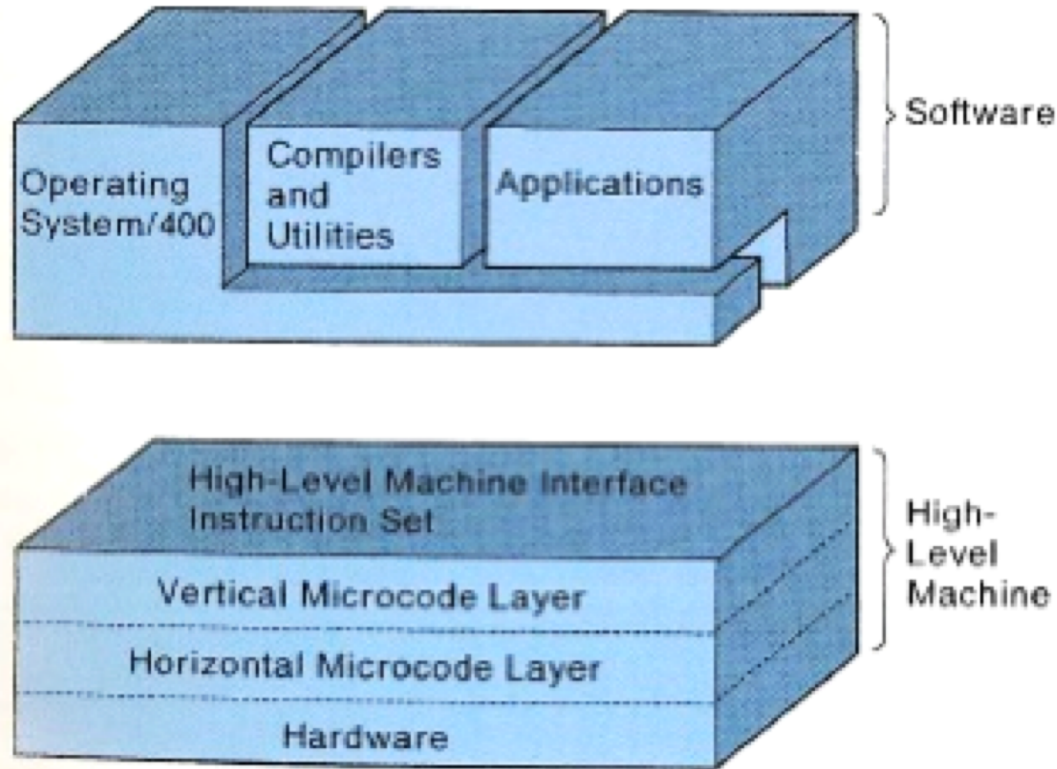
The "MI" (or "TIMI") is the defined set of these instructions.

RSLL350-3

Figure 1 AS/400 Layered Architecture



# Layered Architecture of OS/400

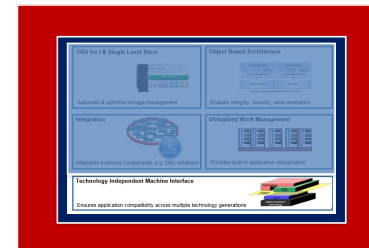


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RSLL350-3

Figure 1 AS/400 Layered Architecture



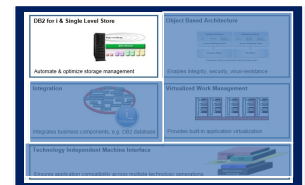
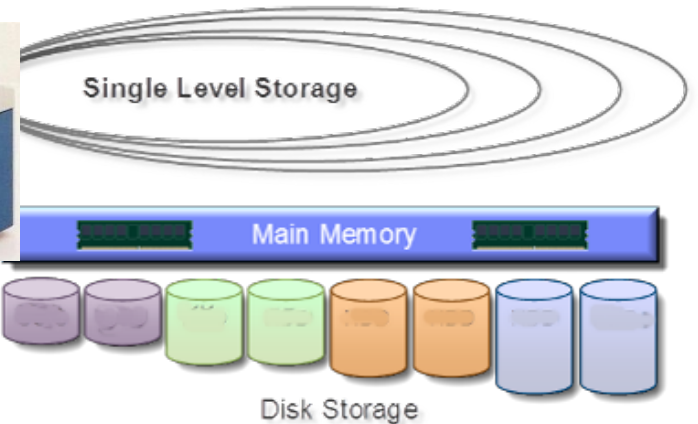


# Storage Model – Back to System/38



All storage on the system is treated as a single contiguous set of memory, so mapping storage required special methods and knowledge of storage devices.

System/38 and initial AS/400 used 48-bit addresses for what became known as “Single Level Storage.”



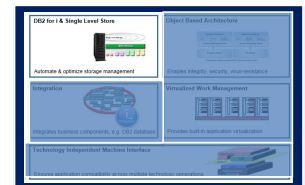
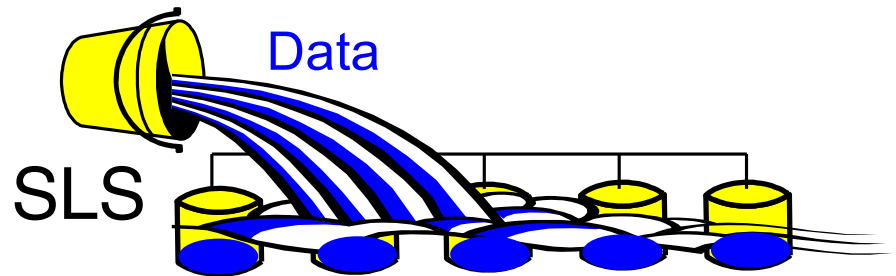


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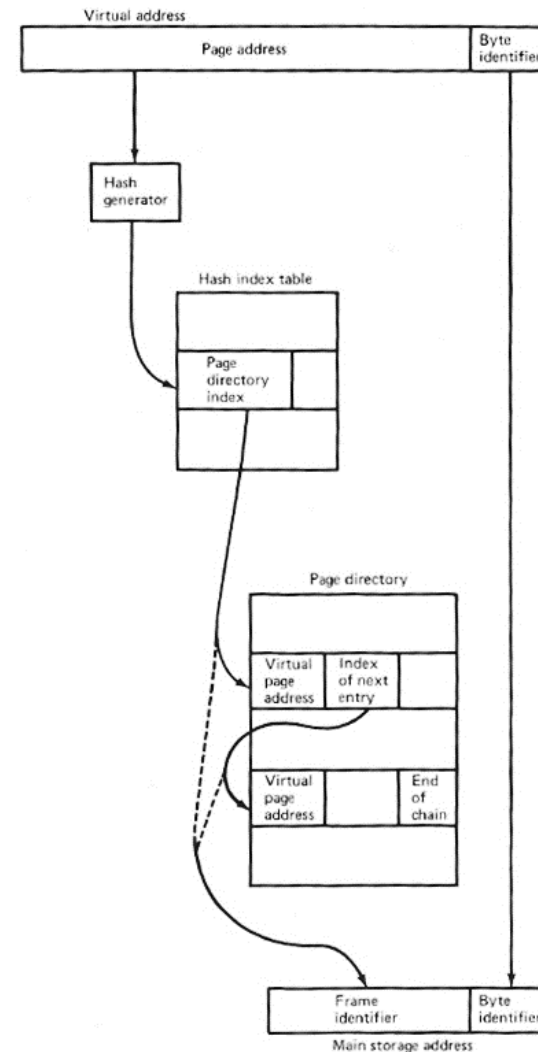
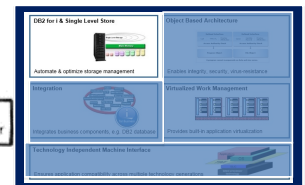
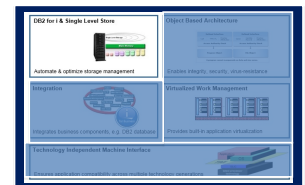


Figure 1 Virtual address translation

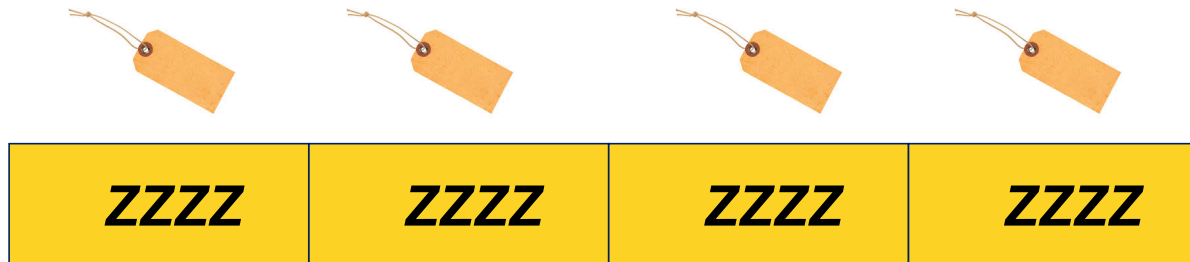


# Tags

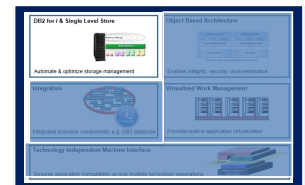
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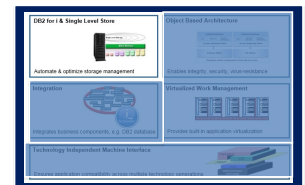
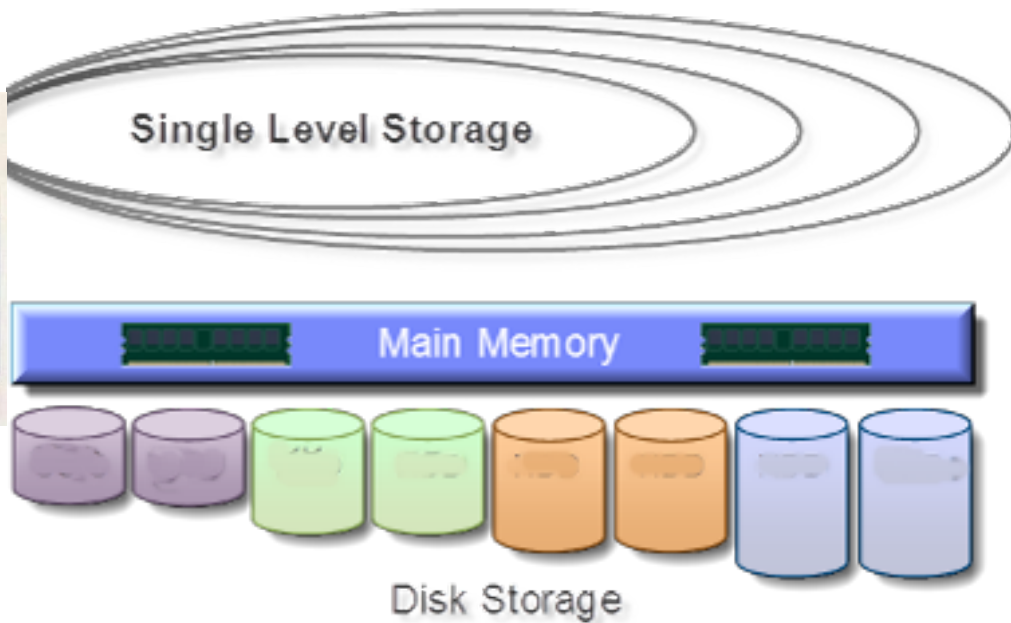
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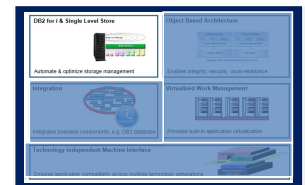
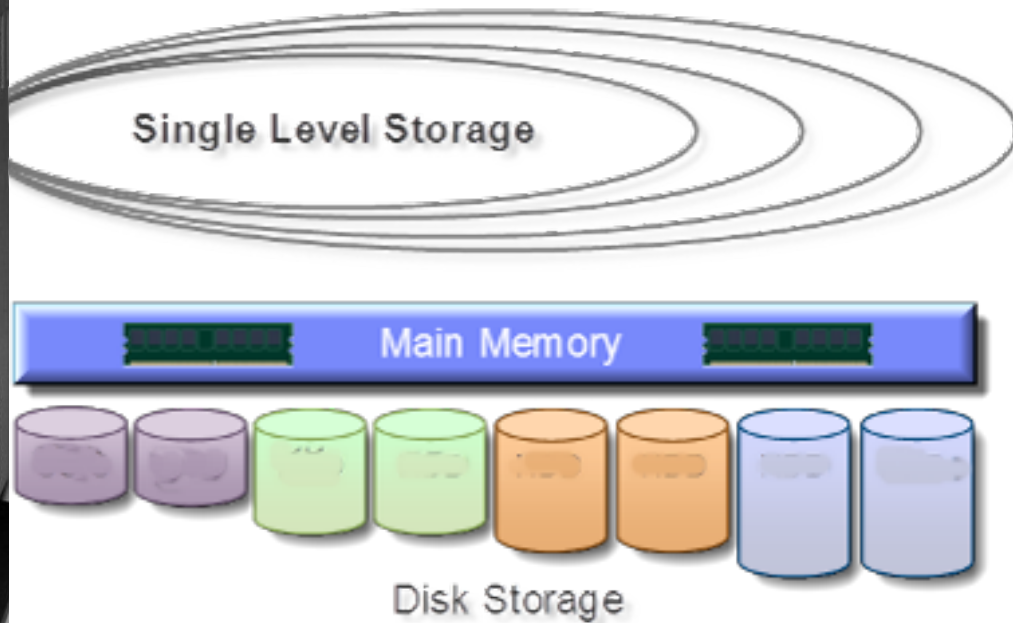
A “tag” per 32-bit word to indicate it’s part of a pointer.



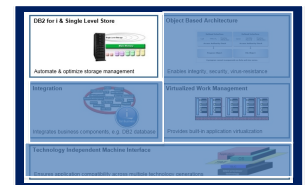
# System/38 Single Level Storage



# AS/400 Single Level Storage – The Same?



# From System/38 to IBM i – “Single” Changed

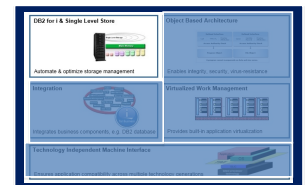


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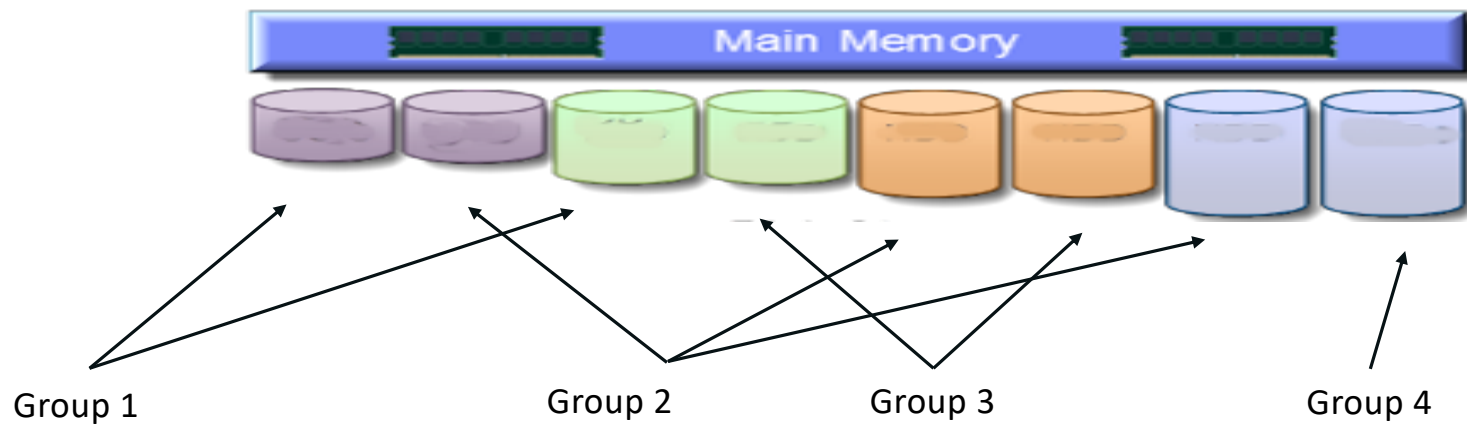
Group 1

The AS/400 architects decided they wanted to have groups of disks.



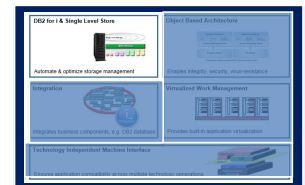


# From System/38 to IBM i – “Single” Changed



The AS/400 architects decided they wanted to have groups of disks.

These groups were called Auxiliary Storage Pools.



# Integrated DB

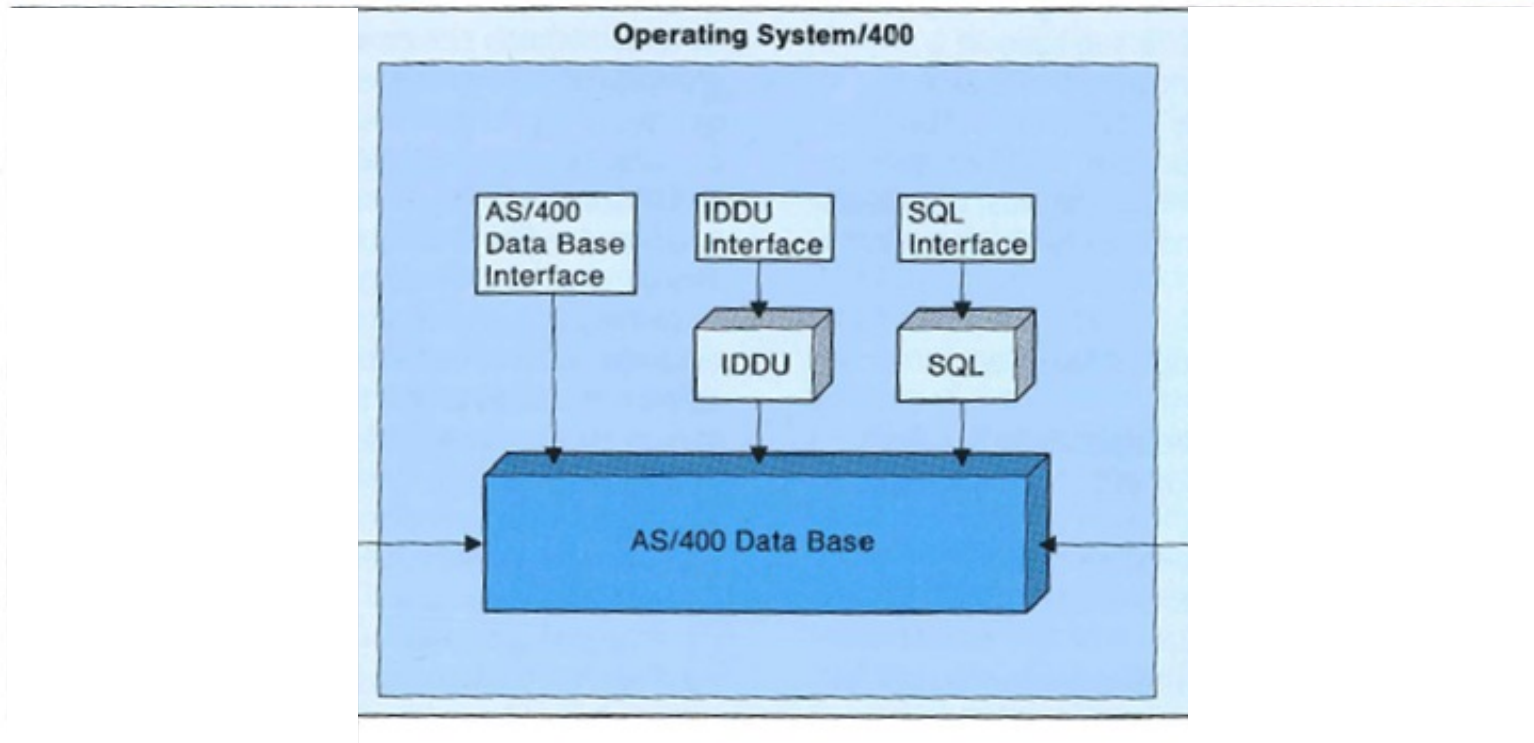
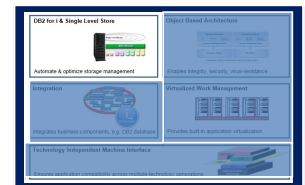


Figure 1 Interface to AS/400 Data Base



# Integrated DB

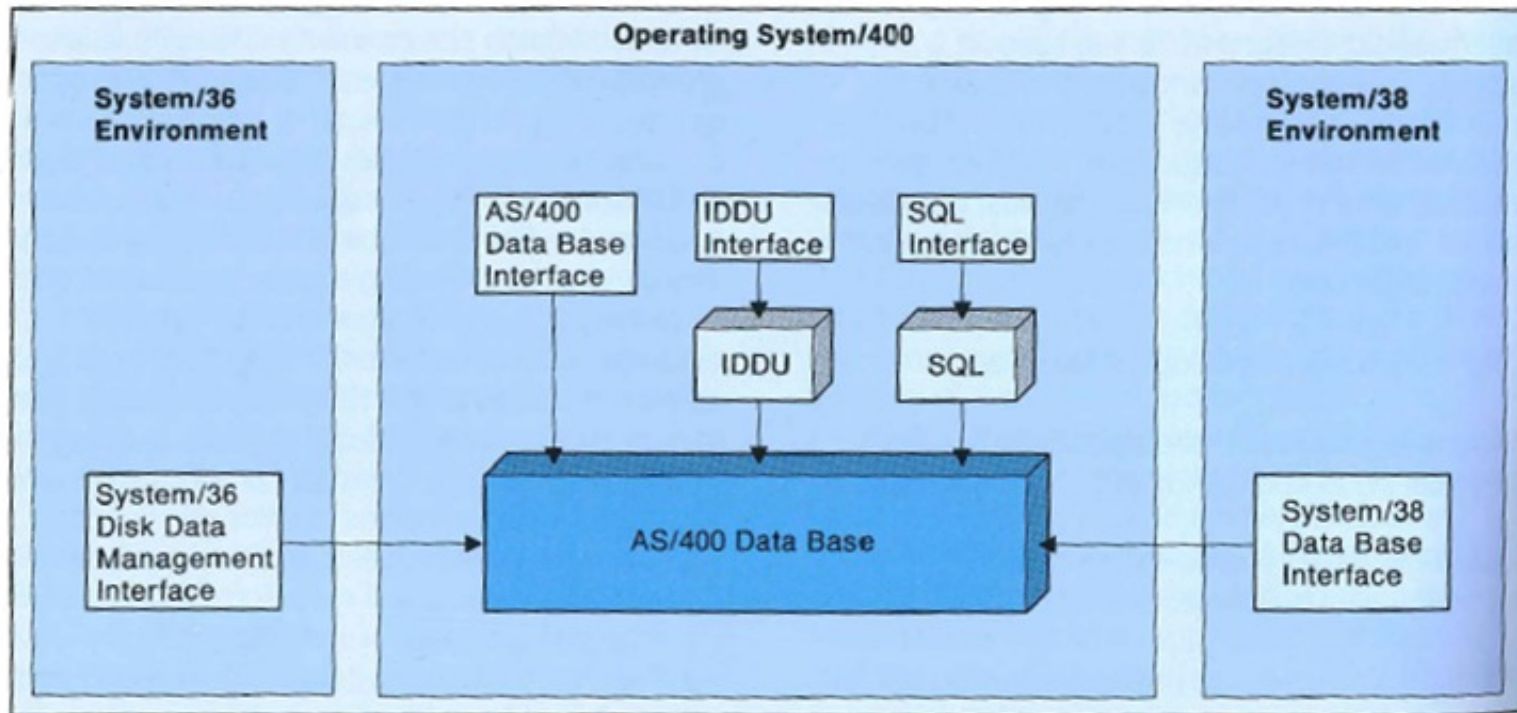
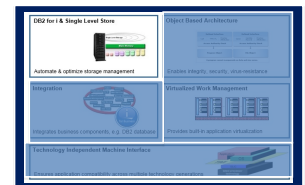
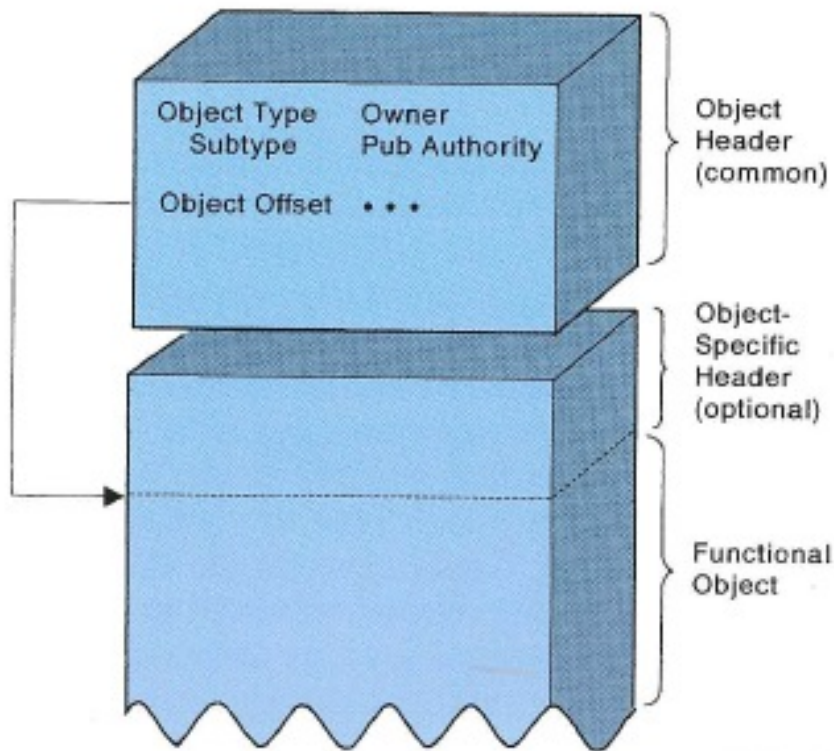


Figure 1 Interface to AS/400 Data Base



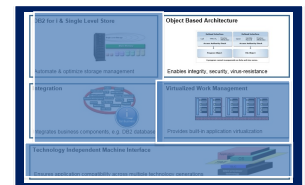
# Object Orientation



RSLL351-2

**Figure 2** Structure of Generic Object

Objects protect the integrity of the system and customer data, while also allowing a strict object-based security architecture.



# What About Integration & Work Management?

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## What About Integration & Work Management?

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So, AS/400 V1R1 had the perfect architecture ...

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And then ...

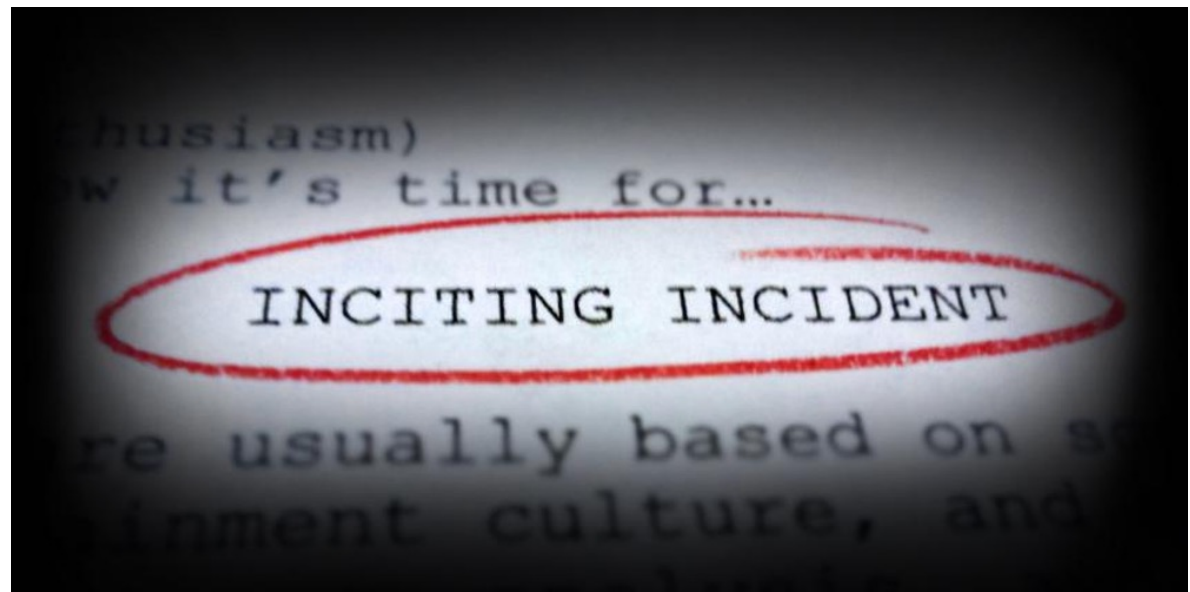


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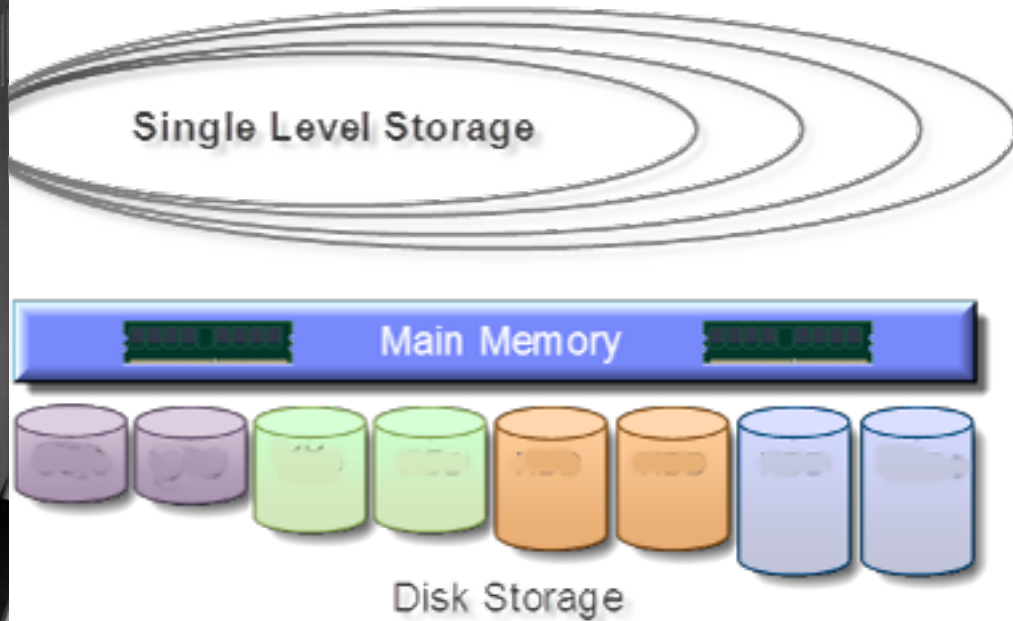
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# And then ...



# Remember that Single Level Storage Thing?



# Programming Languages and Integrity

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# Programming Languages and Integrity

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First:

**RPG** **COBOL**

# Programming Languages and Integrity

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First:

**RPG COBOL**

But Then:

**THE  
C  
PROGRAMMING  
LANGUAGE**

# Programming Languages and Integrity

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First: **RPG COBOL**

But Then: **THE C PROGRAMMING LANGUAGE**  **(Pointers!)**

# Programming Languages and Integrity

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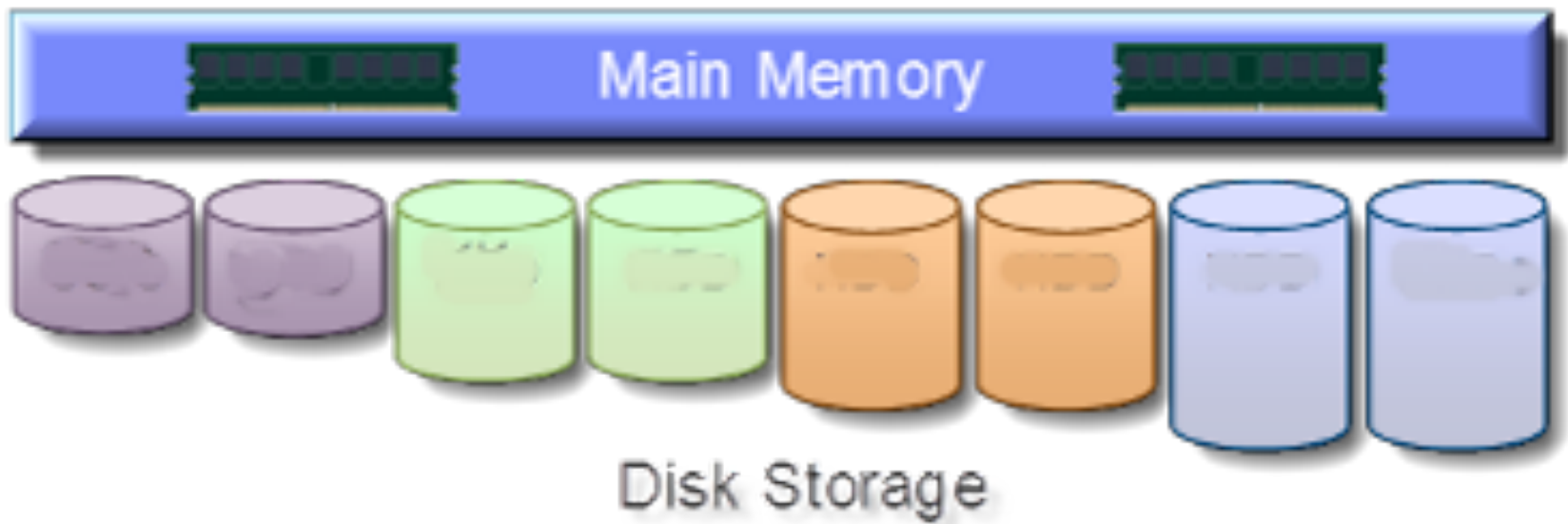
But Then:

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C  
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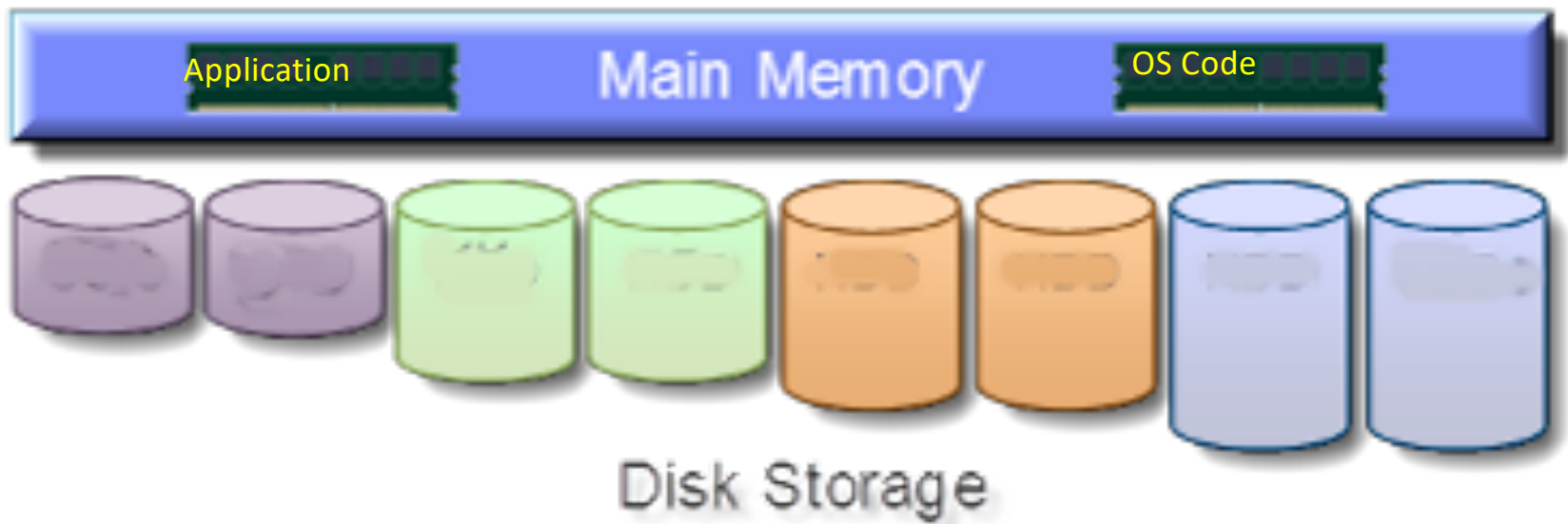


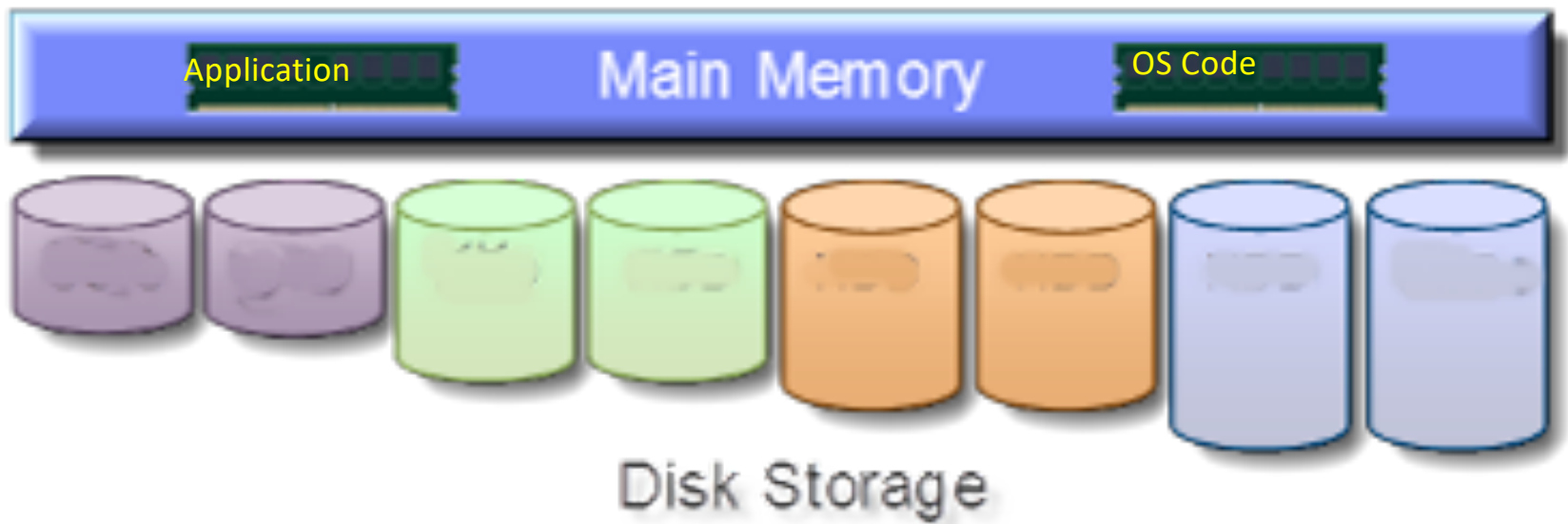
**(Pointers!)**

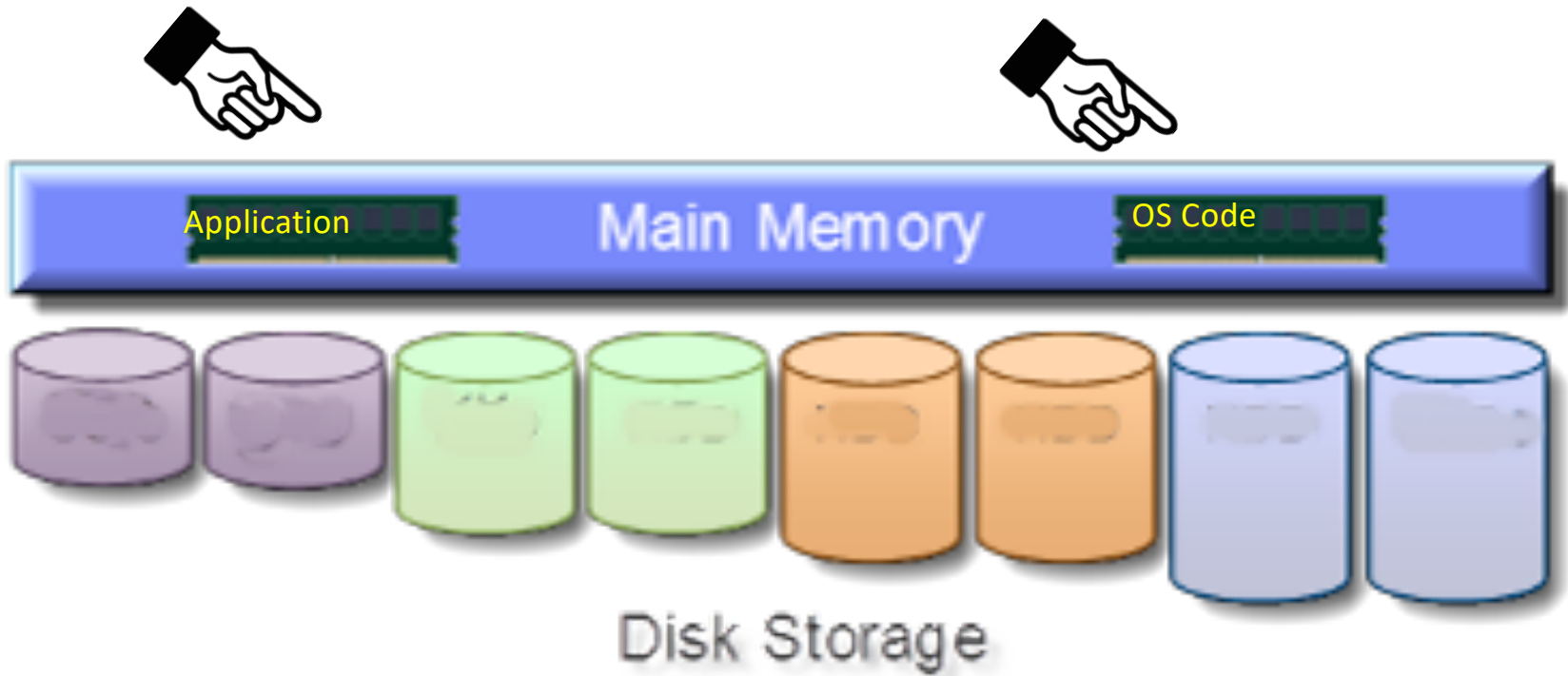
So What?











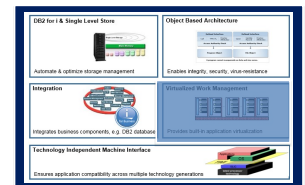
# Programming Languages and Integrity



First: **RPG COBOL**

But Then: **THE C PROGRAMMING LANGUAGE** 

So ... **Security level 50 & initial HW support for Integrity (Storage Protection, Privileged/Problem state support)**

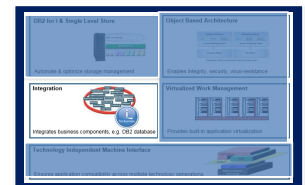


# File Systems

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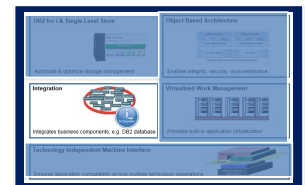
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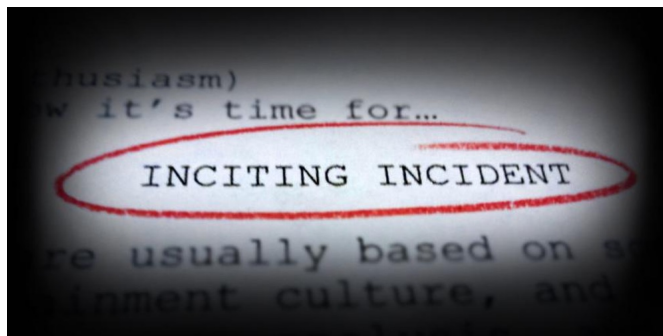
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  - So, again, the AS/400 architecture was more than just the S/38



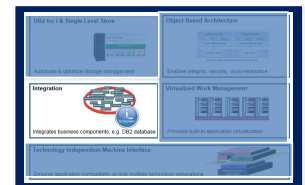
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  - So, again, the AS/400 architecture was more than just the S/38
- The industry defined a standard hierarchical file system, as part of the POSIX standards



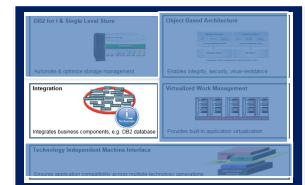
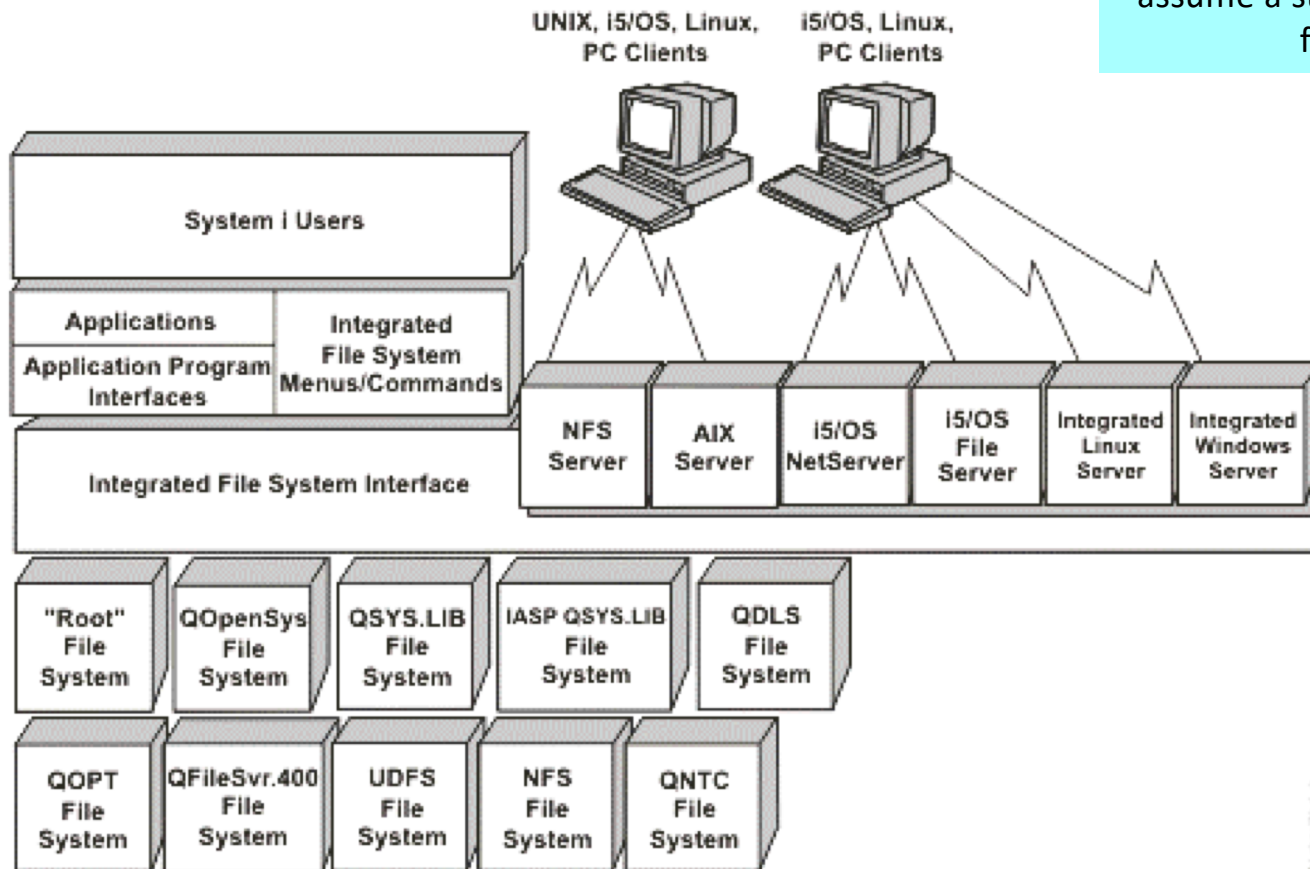
# POSIX



# Integrated File System - IFS



Today's modern applications assume a standard, hierarchical file system

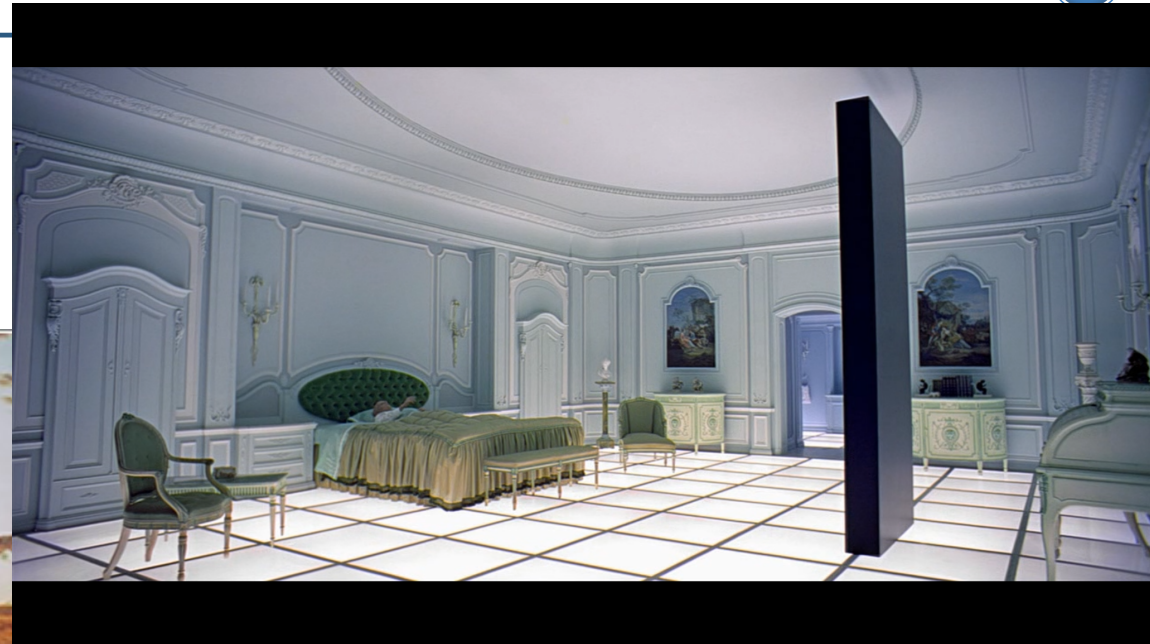
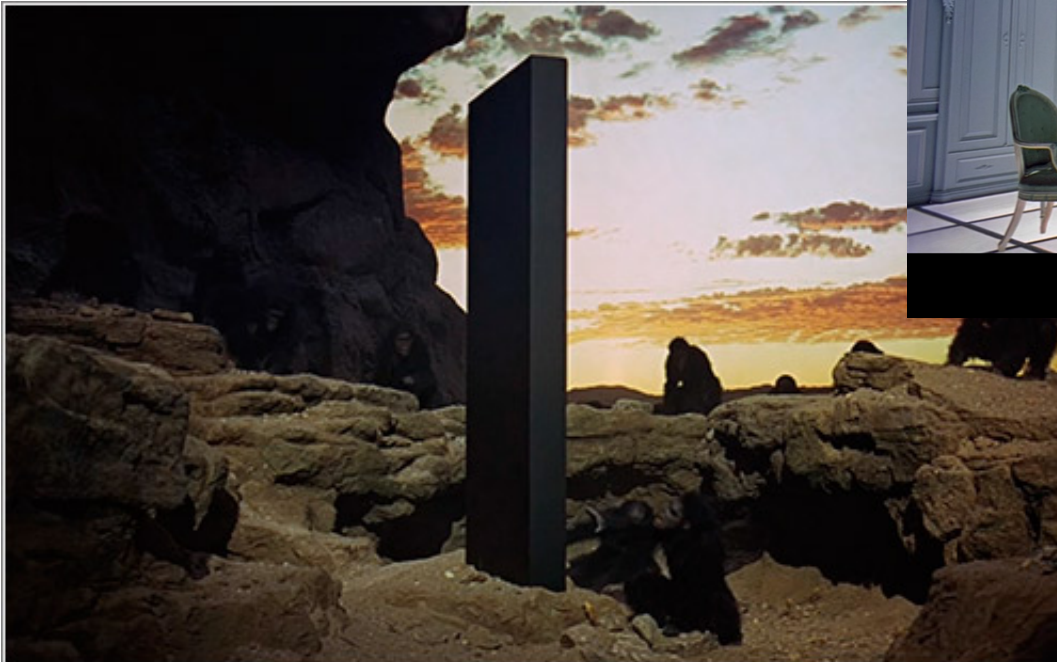




# Once Upon a Time



For very good reasons, early software was written in large, **monolithic**, programs.



The Monolith

# But the Programming World Kept Changing

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## But the Programming World Kept Changing

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Computer architectures made resources more available, compilers made calls between programs more efficient, and languages developed to assume **modularity**.

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# ILE

# The Integrated Language Environment: aka ILE, aka New Program Model



The creation of ILE required the creation of the **Activation Engine**

- A new architectural component
- Controls the birth, life, and death of the process and its **activation groups**

## ILE Benefits

- Binding
- Modularity
- Reusable Components
- Common Runtime Services through bindable APIs
- Source Debugger
- Better Control Over Resources

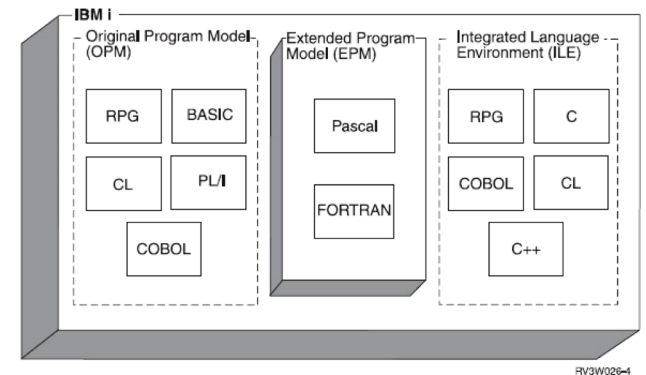
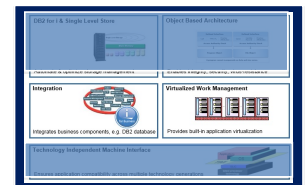


Figure 2-5. Relationship of OPM, EPM, and ILE to IBM i

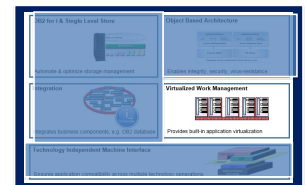


# Jobs and Processes Were Enough, Until They Weren't

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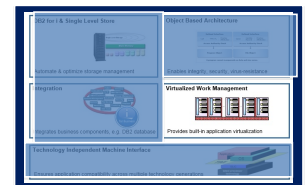
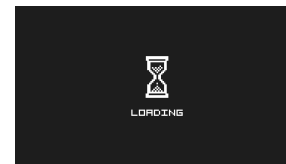
- Job: the user-visible, user definable container of work
- Process: the underlying above-MI construct for processing, which has information about
  - The process itself
  - What's running in the process





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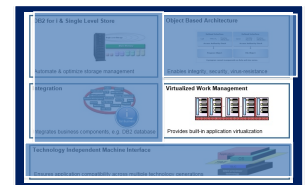
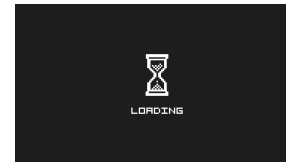
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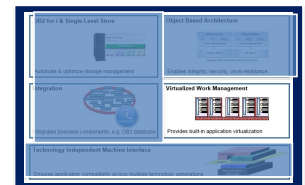
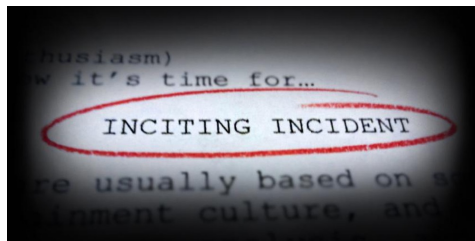
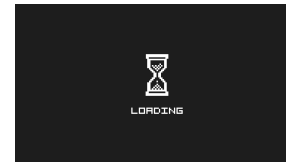
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# Applications Drive Adaptation

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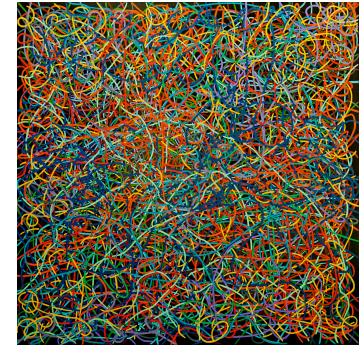
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Lotus  Notes

# Applications Drive Adaptation

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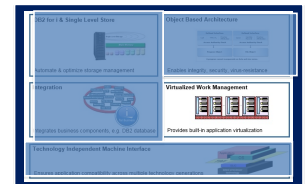
Lotus  Notes



# Applications Drive Adaptation

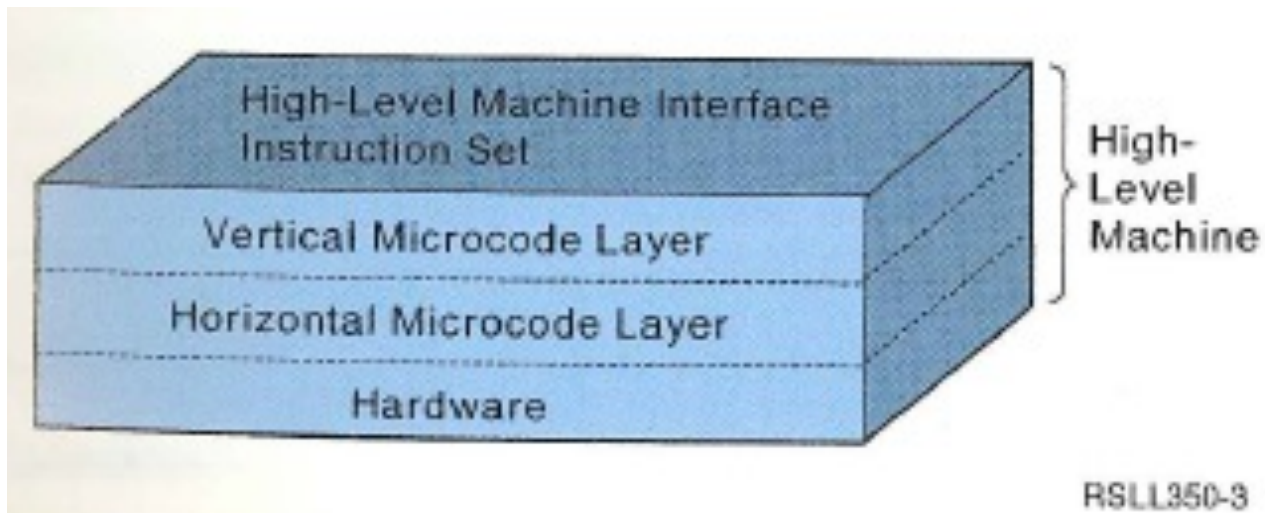


Lotus  Notes



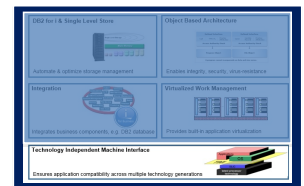


# CISC to RISC



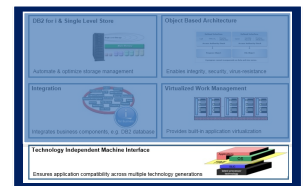
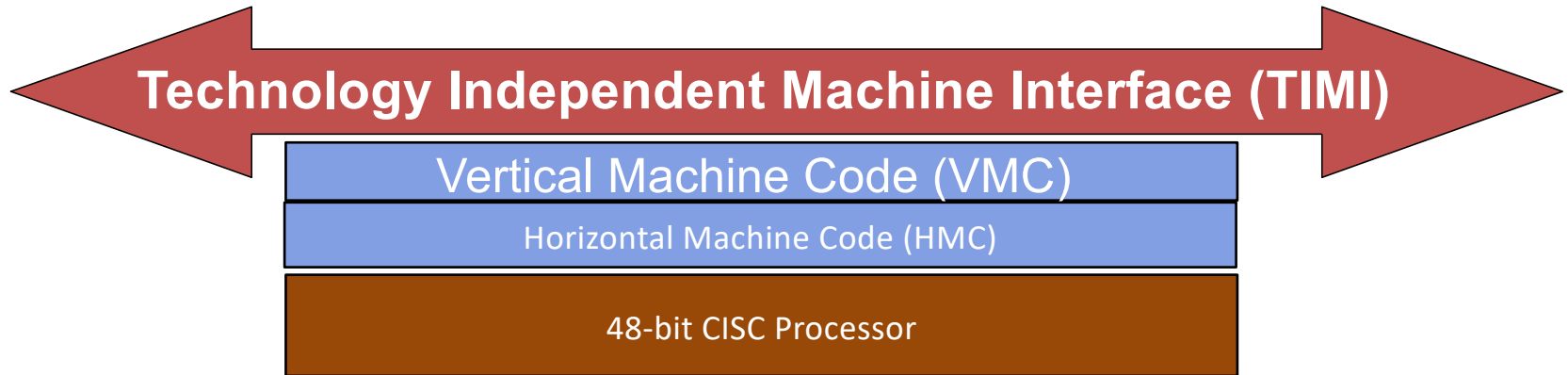
**Figure 1** AS/400 Layered Architecture

The original AS/400 hardware was a 48-bit processor, which implemented a “Complex Instruction Set.”

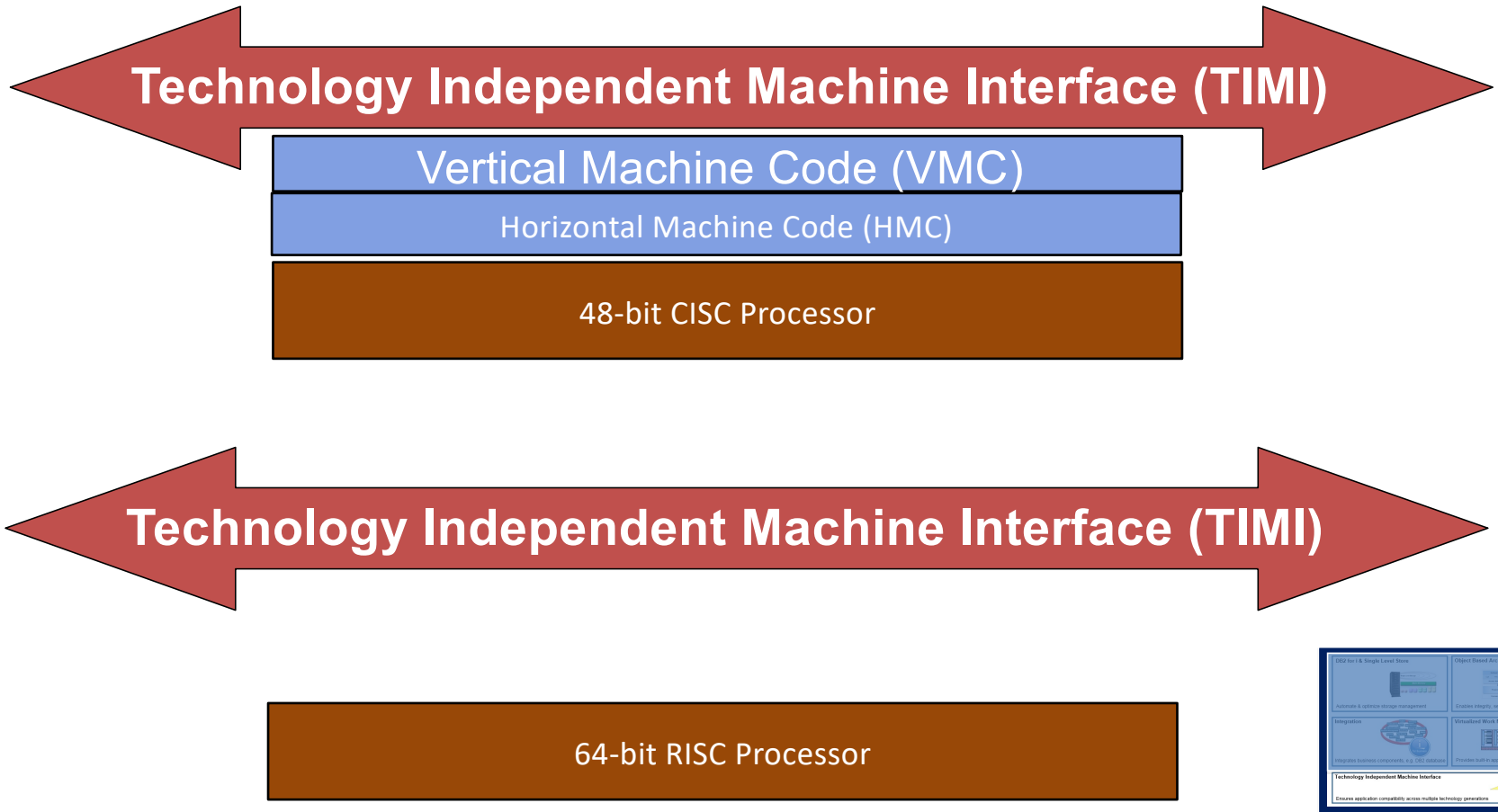




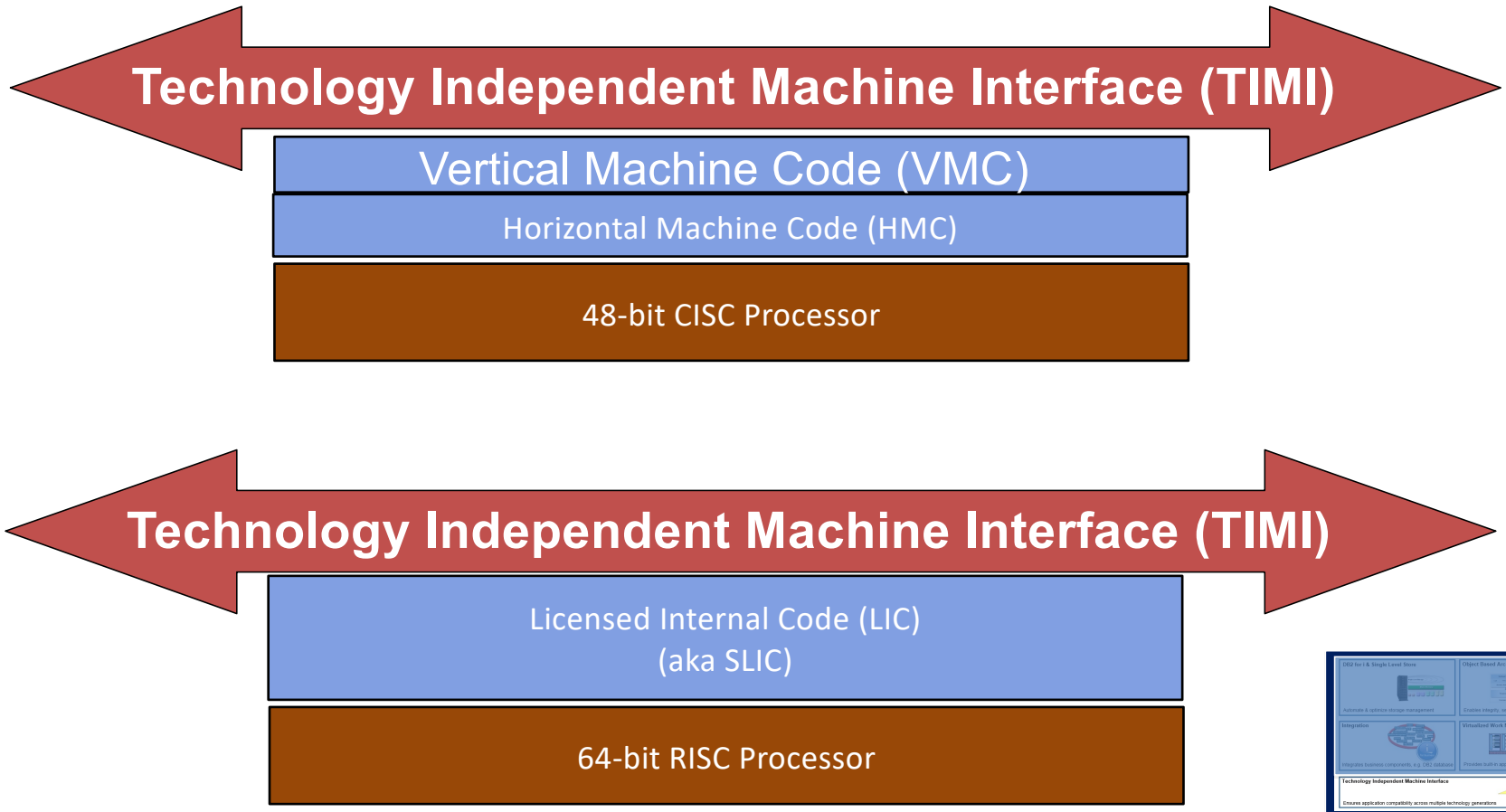
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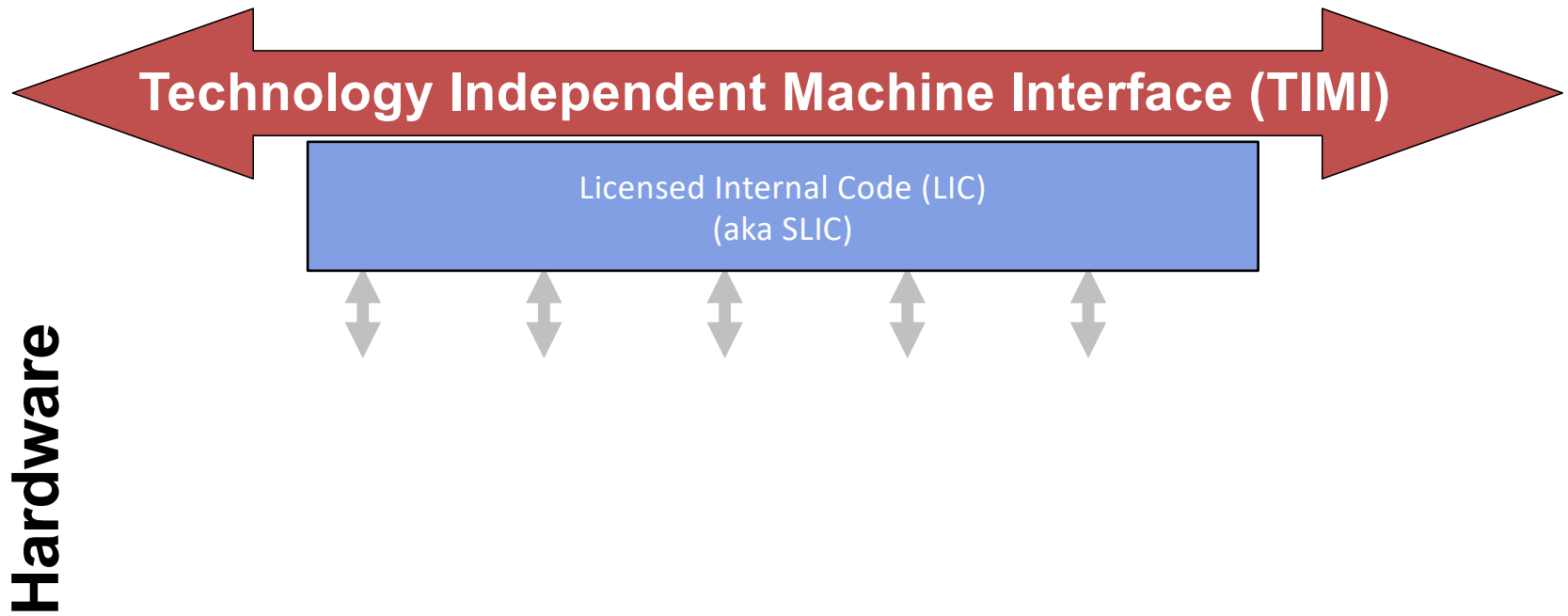
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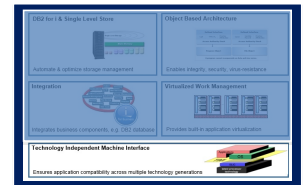
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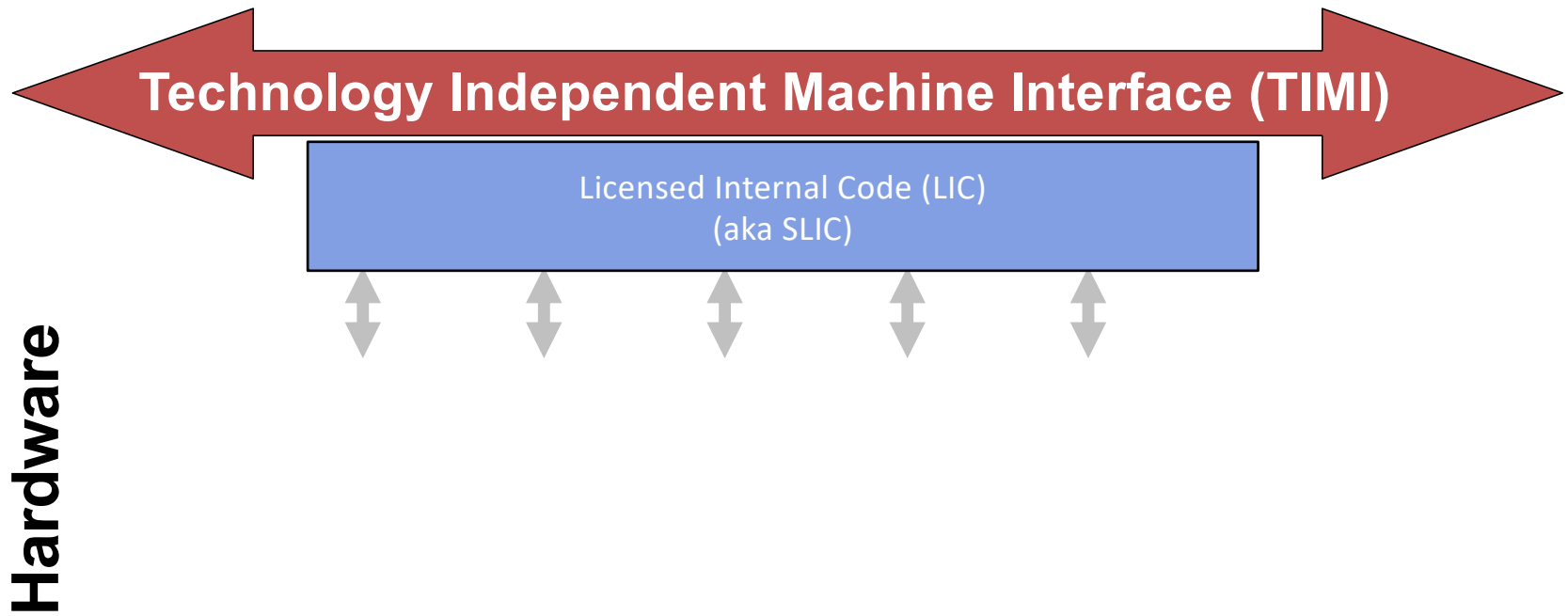
# The Architecture – post-CISC



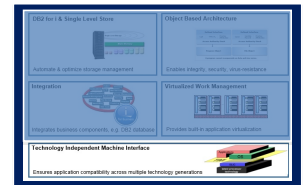
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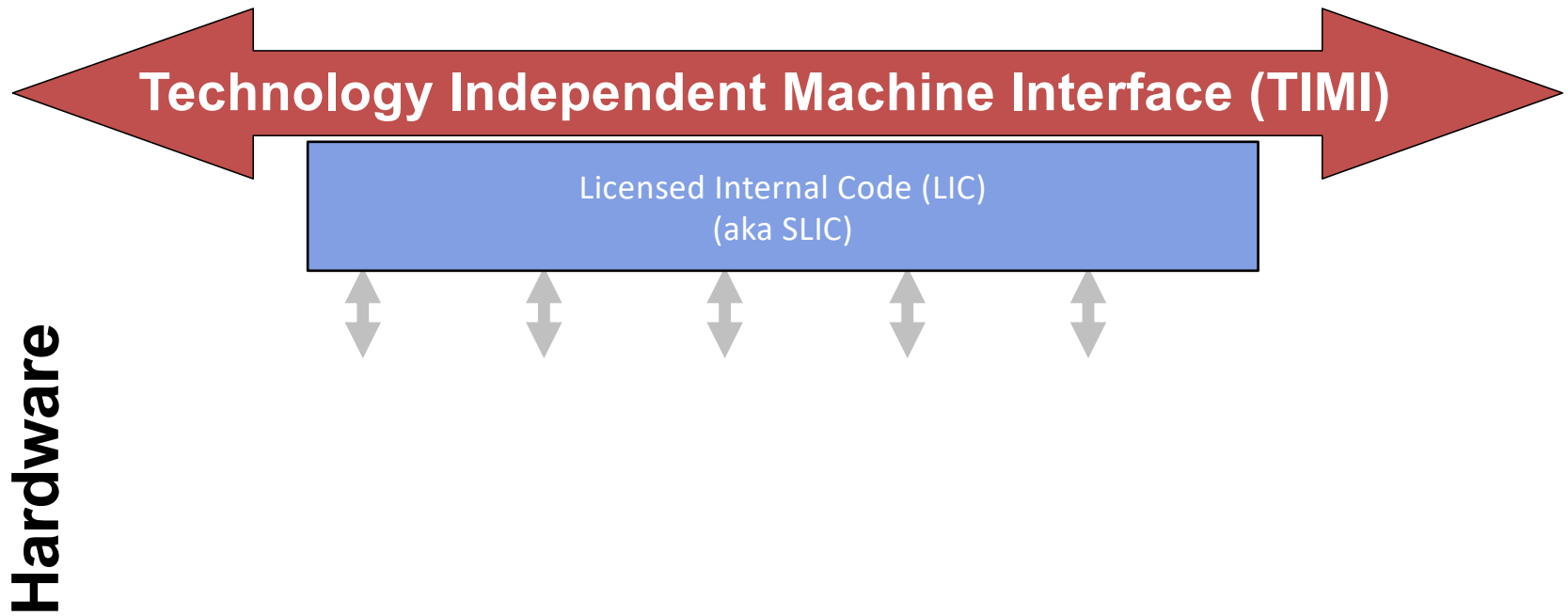
# The Architecture – post-CISC



So, did the “Architecture” change?  
Above TIMI?                      Not so much.



# The Architecture – post-CISC



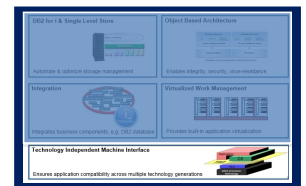
So, did the “Architecture” change?

Above TIMI?

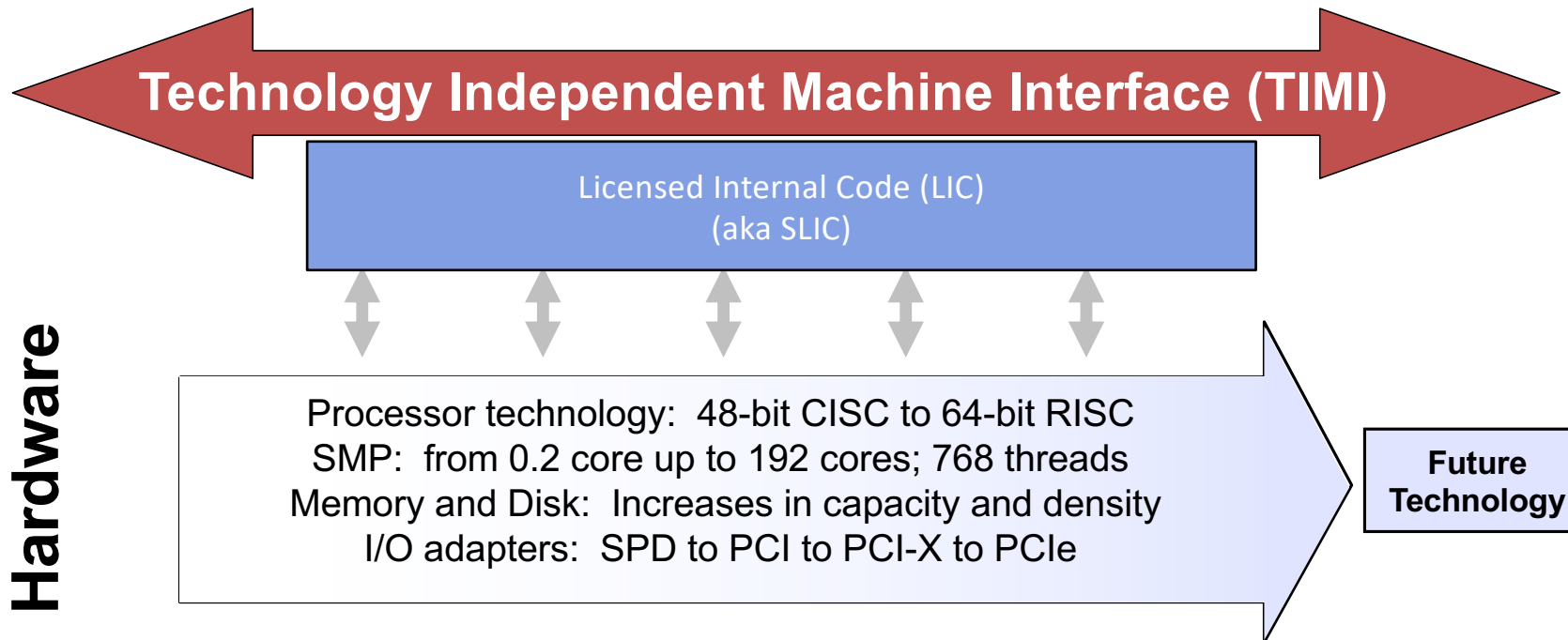
Not so much.

Below TIMI?

You betcha!



# The Architecture – post-CISC



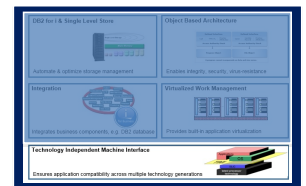
**So, did the “Architecture” change?**

Above TIMI?

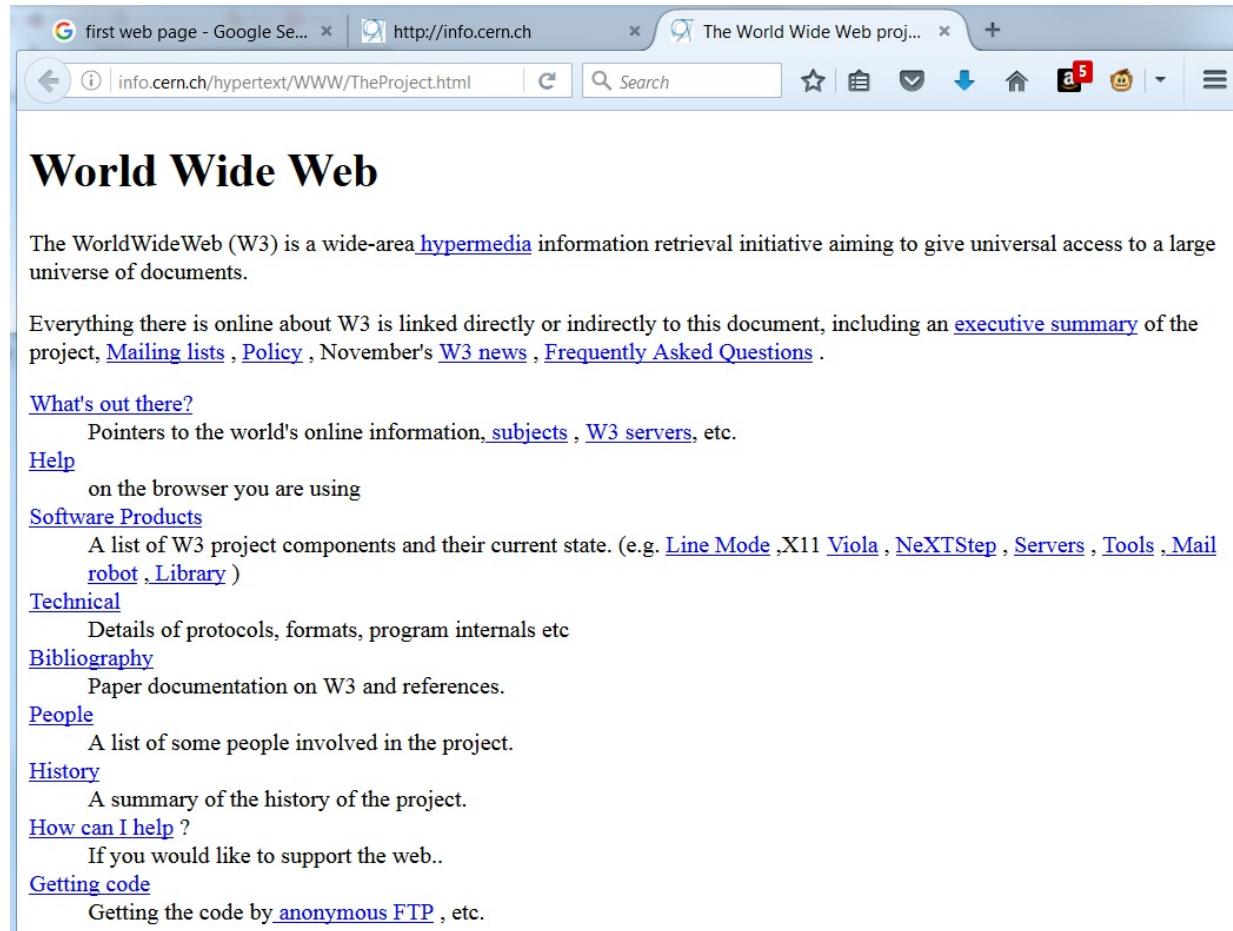
Not so much.

Below TIMI?

You betcha!



# And Then Came This ...



## Hypertext Transfer Protocol (HTTP)

The first web page ever!

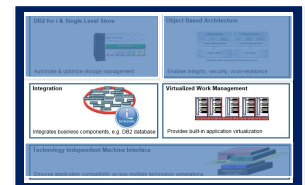


# Web Serving Drove Changes

---



- HTTP was gaining strength as a presentation protocol
  - With imbedded data retrieval from a web server
- V3R7 – CERN-based web server
  - Single instance only; V4R1 – Multiple servers allowed
- V4R5 – Apache-based web server

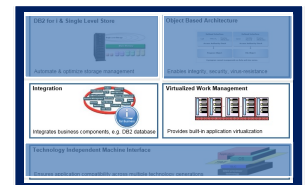




# Web Serving Drove Changes

---

- HTTP was gaining strength as a presentation protocol
  - With imbedded data retrieval from a web server
- V3R7 – CERN-based web server
  - Single instance only; V4R1 – Multiple servers allowed
- V4R5 – Apache-based web server
  
- Benefited from Multi-threading
  
- Drove requirements for
  - Digital Certificates
  - Server Architecture
  - Teraspace

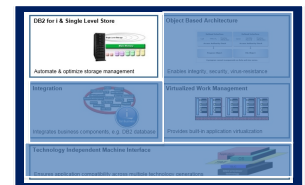
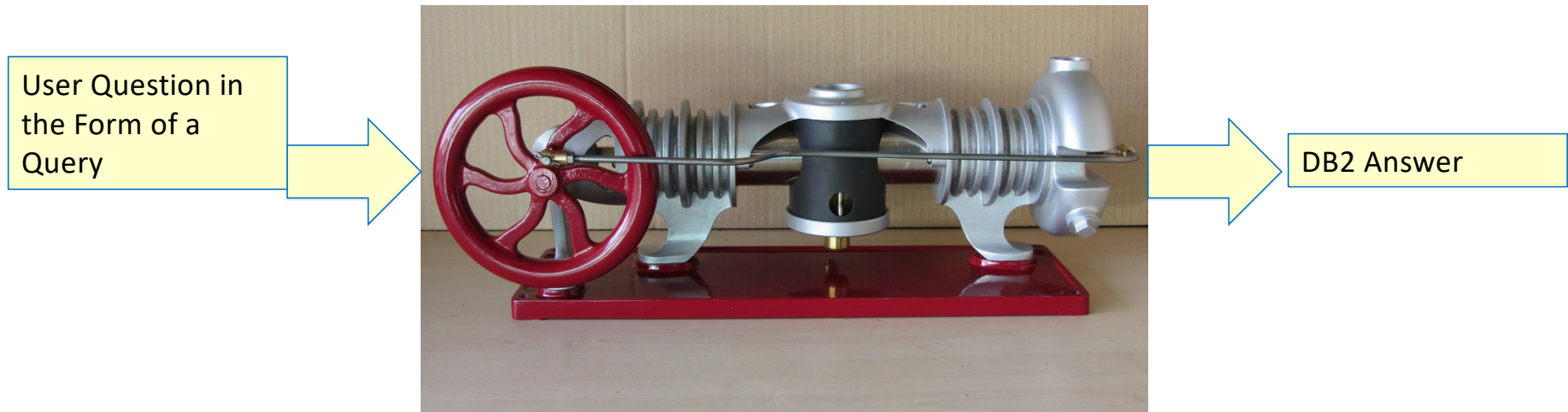


# What about the Database?

---



# The Classic Query Engine - CQE



# DB2 Architects Saw Opportunities

---



- DB2 Architects wanted a Query Engine which could

# DB2 Architects Saw Opportunities

---



- DB2 Architects wanted a Query Engine which could
  - Take advantage of knowing it was dealing with SQL

# SQL

# DB2 Architects Saw Opportunities

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  - Learn from the past

# SQL



# DB2 Architects Saw Opportunities

---



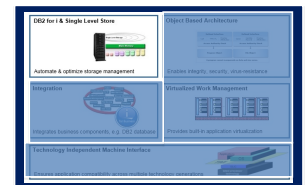
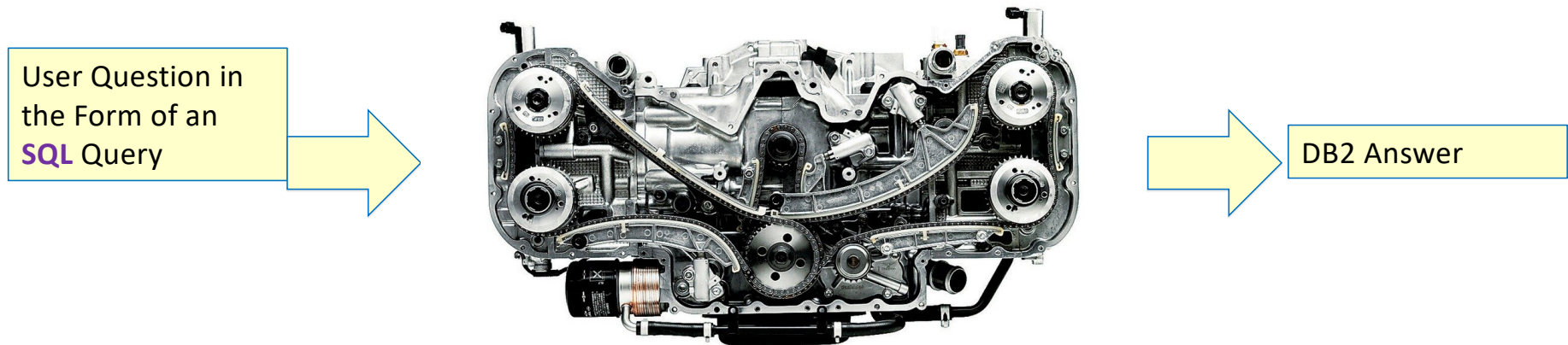
- DB2 Architects wanted a Query Engine which could
  - Take advantage of knowing it was dealing with SQL
  - Learn from the past
  - Use what it learned from queries for one application to improve queries for other applications.

# SQL

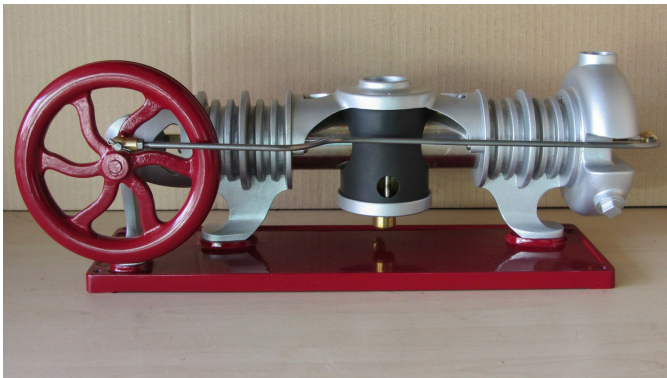
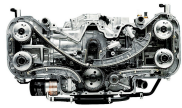




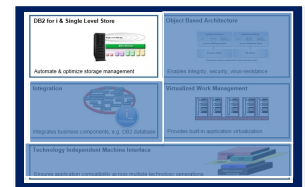
# The SQL Query Engine



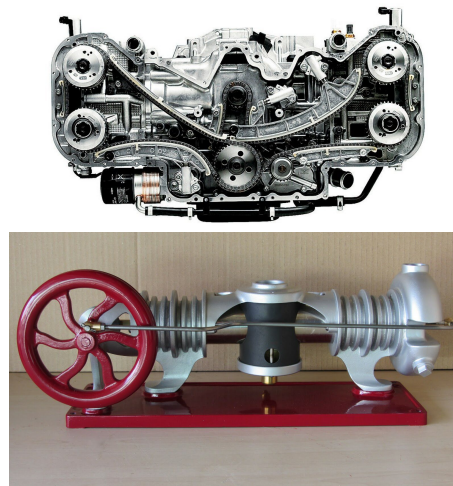
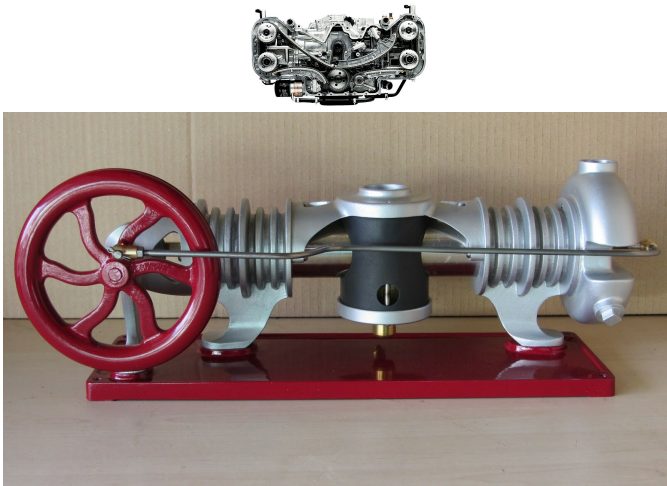
# Avoiding Disruption Was Paramount



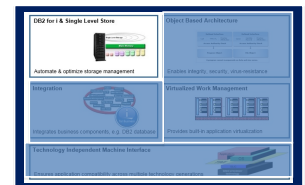
Time



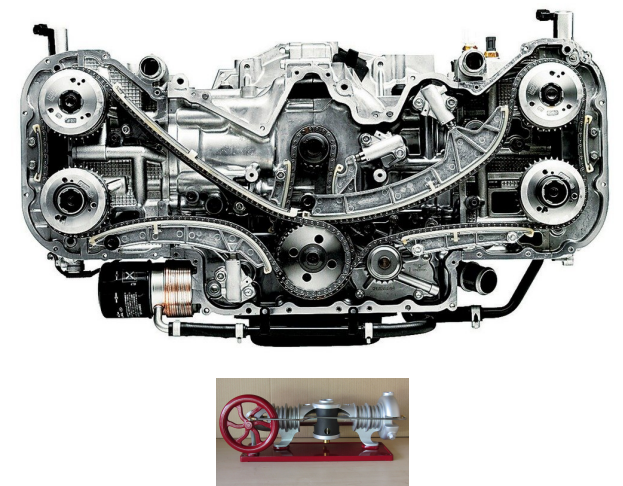
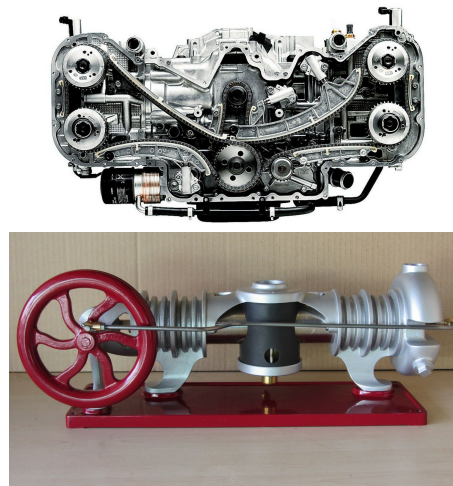
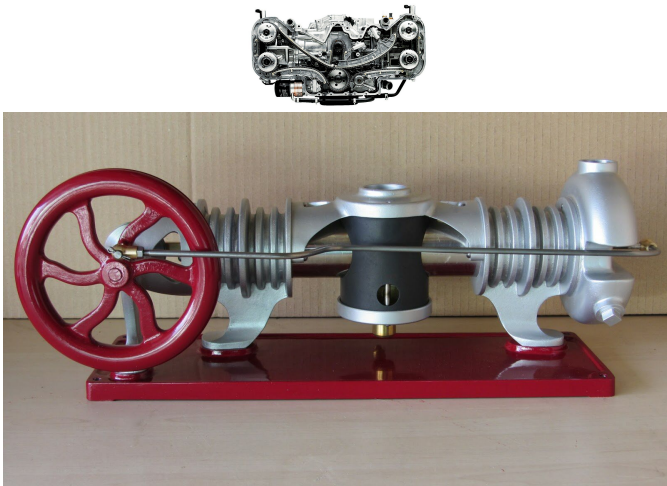
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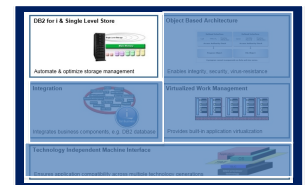
Time



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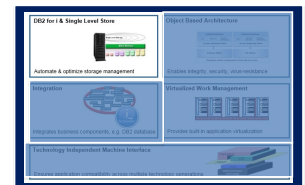


Time



## Teraspace: Storage Revolution

- 1 Terabyte (=  $2^{40}$  bytes)
  - flat (non-segmented), process-local storage
  - Temporary
- Lightweight 8-byte pointers available
  - high performance, untagged
- And definitely NOT Single-Level Store!





---

**2008**



**2000**

**IBM iSeries**



**1988**

**AS/400®**

System/38 (1978)

System/36 (1983)



# 6.1



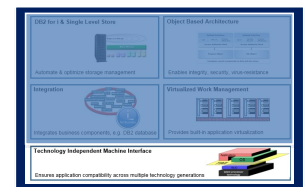
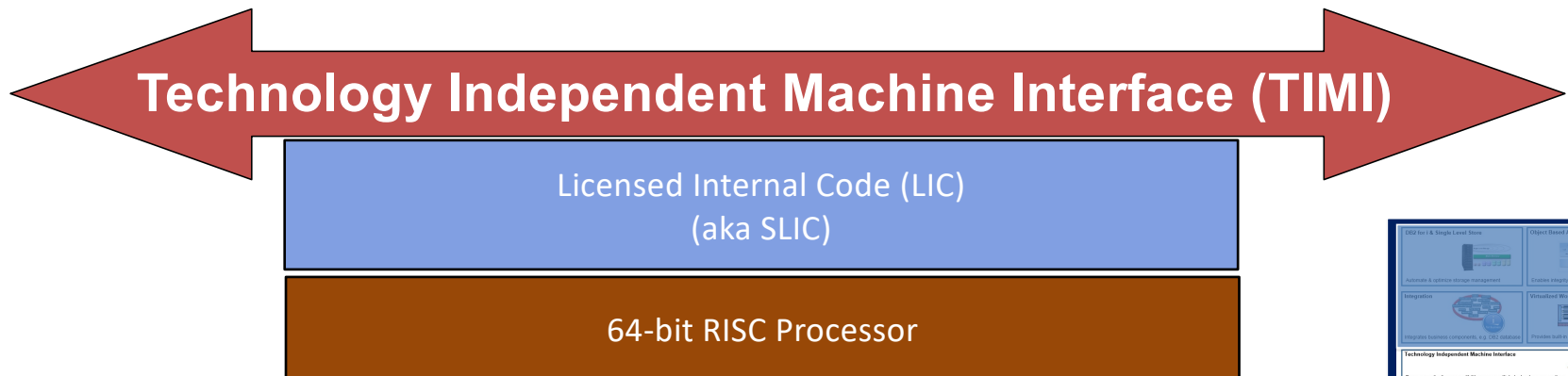


# Retranslation at 6.1



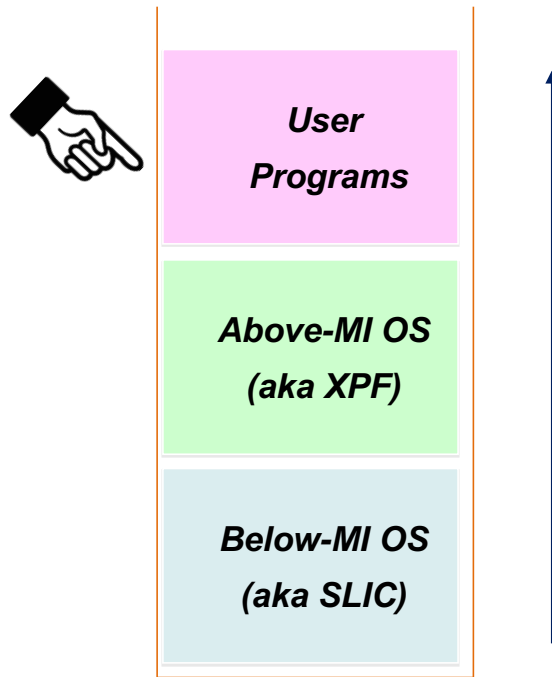
In IBM i 6.1, Retranslation occurred for three purposes:

- Improving security & integrity
- Performance
- Removing limits



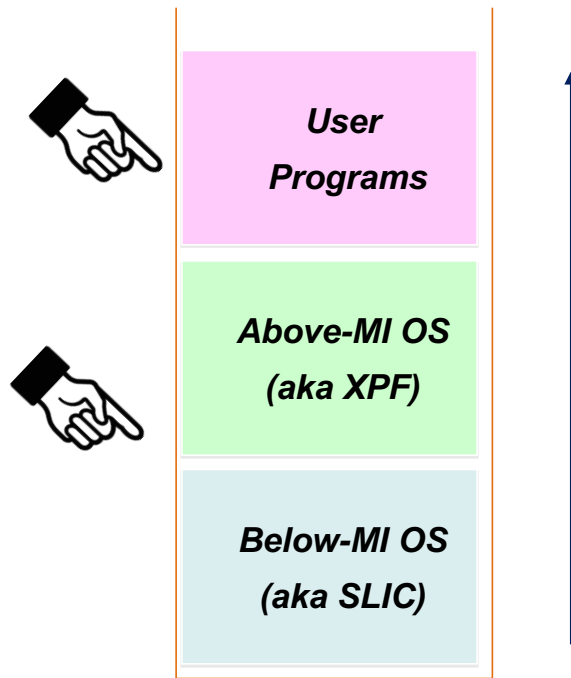


# 6.1 Retranslation Integrity Part 1: The Execution Stack



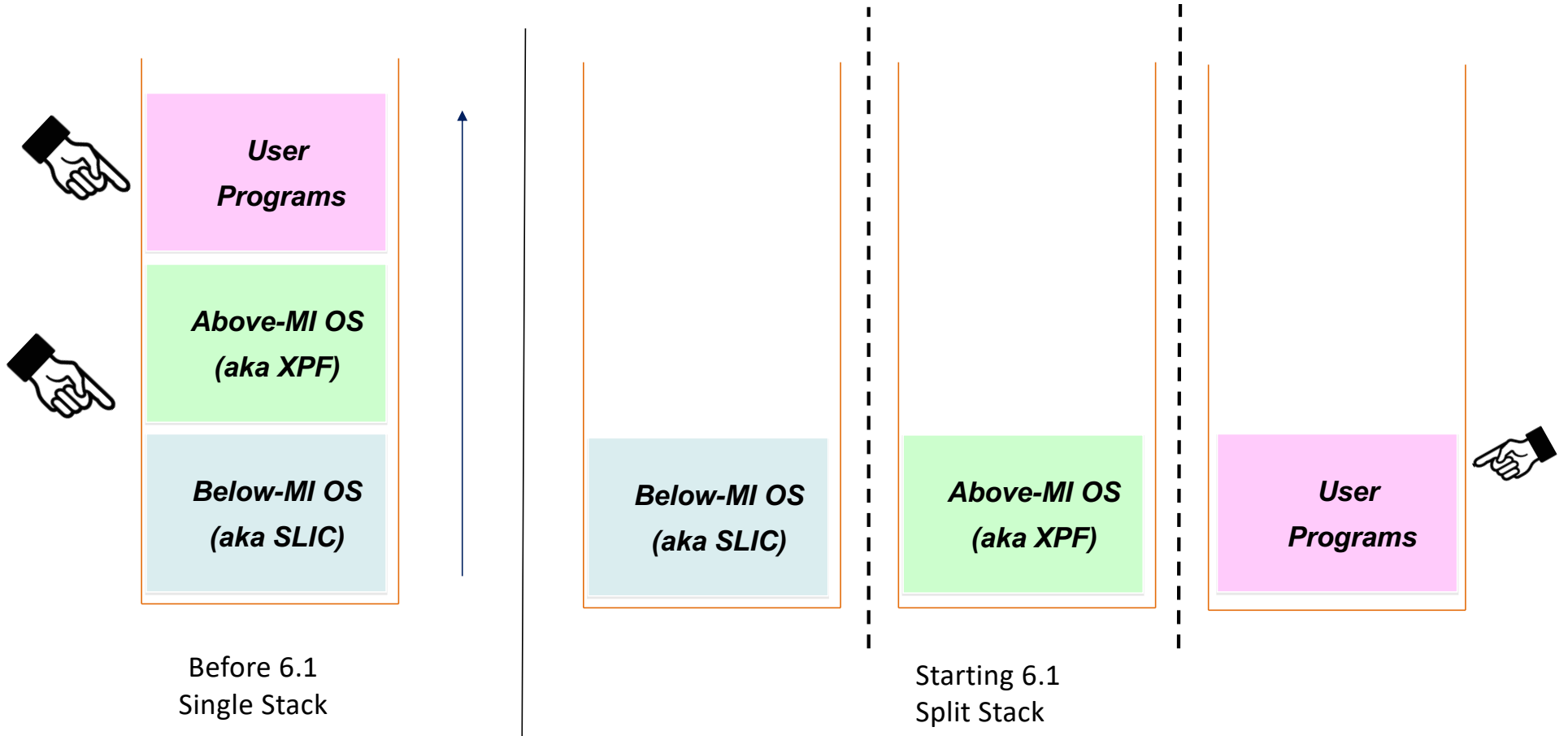
Before 6.1  
Single Stack

# 6.1 Retranslation Integrity Part 1: The Execution Stack



Before 6.1  
Single Stack

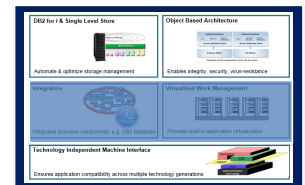
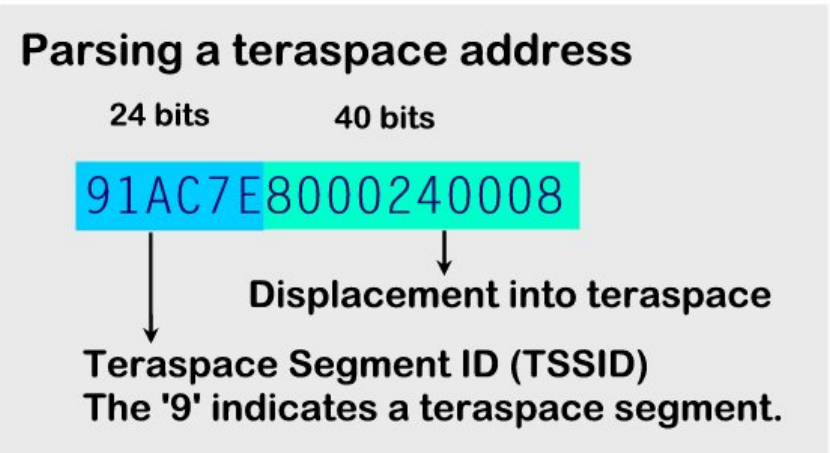
# 6.1 Retranslation Integrity Part 1: The Execution Stack



# Retranslation Integrity & Performance Part 2: Teraspace



- Teraspace initially implemented in software – in SLIC
- Over time, processor support was added to improve use and integrity of addressing.
- By 6.1, all supported machine types had the necessary processor support to do teraspace “right.”
- Retranslation accomplished true “hardware protection” and use of teraspace.



# Retranslation Integrity Part 3

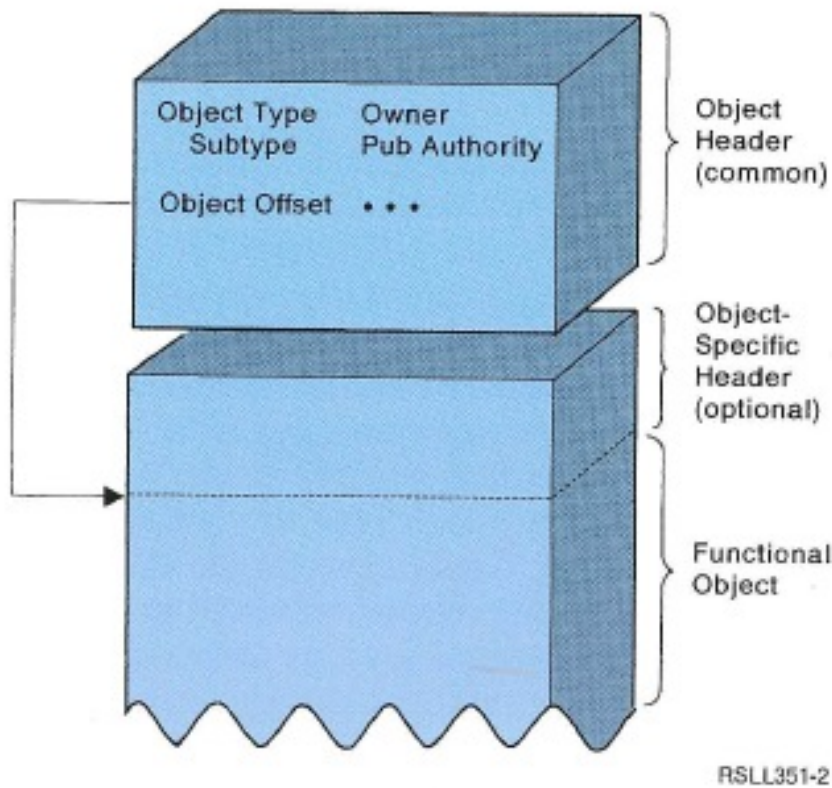
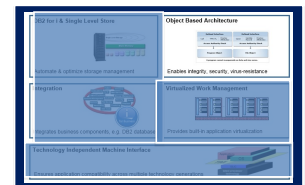


Figure 2 Structure of Generic Object

To protect the integrity of objects:

- Privileged/Problem domain
- User/System state
- 6.1: Hardware Protection for accessing privileged domain from user state
- 6.1: Close any potential way to masquerade as system state.

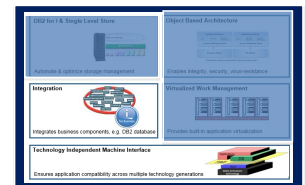


# PASE – Portable Application Solutions Environment

---



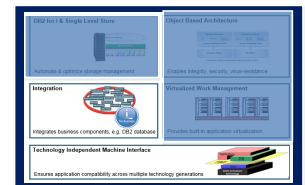
- By 2000, AIX and OS/400 were able to run on the same POWER processors.



# PASE – Portable Application Solutions Environment



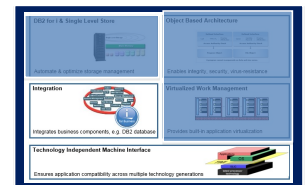
- By 2000, AIX and OS/400 were able to run on the same POWER processors.
- This created the possibility for executables which are MI-based and AIX-based to run on the same hardware in the same partition.
- PASE makes it possible for those binaries to run in the same process.



# PASE – Portable Application Solutions Environment

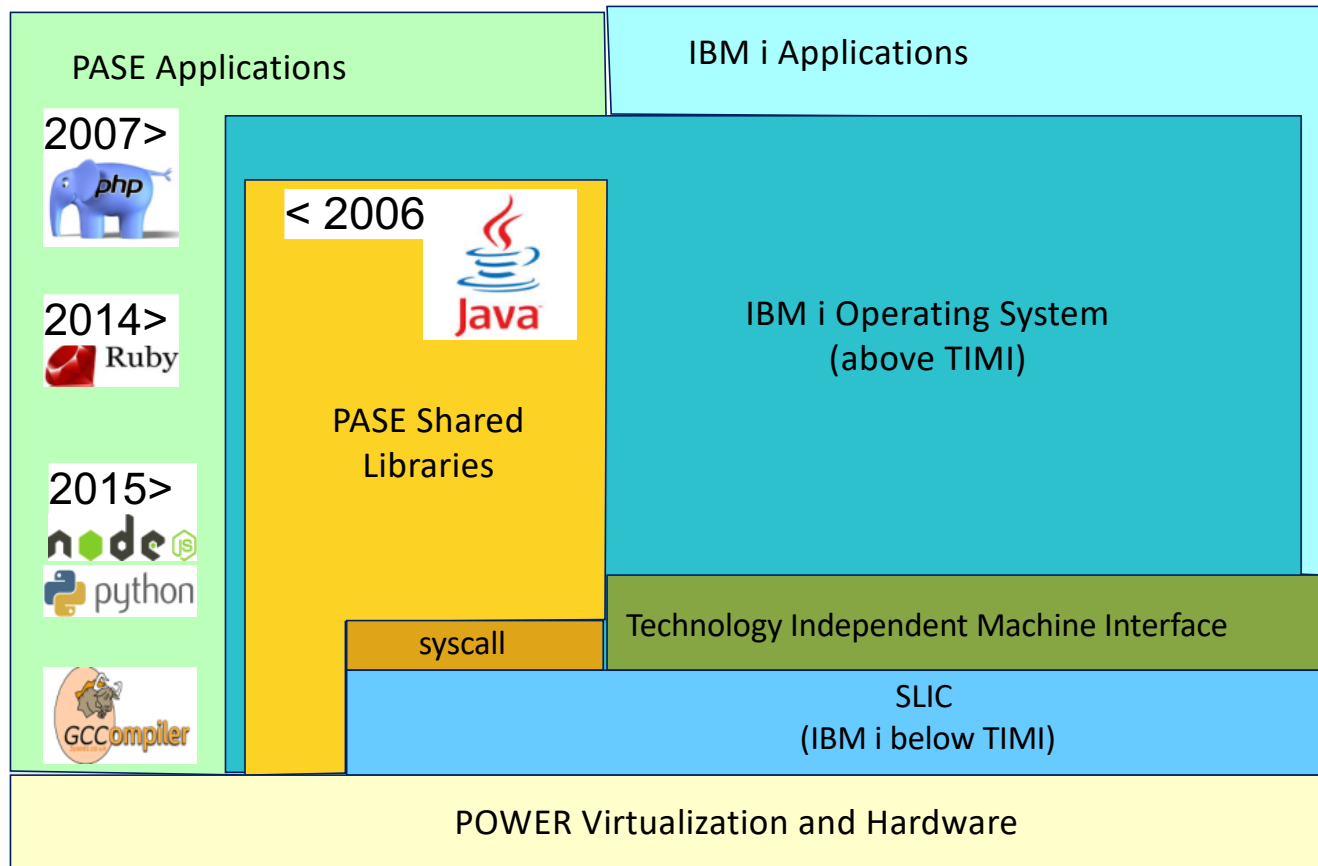


- By 2000, AIX and OS/400 were able to run on the same POWER processors.
- This created the possibility for executables which are MI-based and AIX-based to run on the same hardware in the same partition.
- PASE makes it possible for those binaries to run in the same process.
- PASE is a release of AIX
  - Fitted to talk to SLIC rather than directly to the AIX kernel.
- PASE gets the memory from same SLIC teraspace pools used by ILE
  - for program run stack, heap, and shared memory
  - PASE can ONLY see memory that PASE acquired through its own syscall APIs



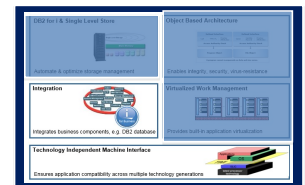


# Pictorial View of PASE



PASE allows IBM i to host

- Java
  - SAP
- PHP
- Ruby
- And many other open source options



# Managing/Accessing the System

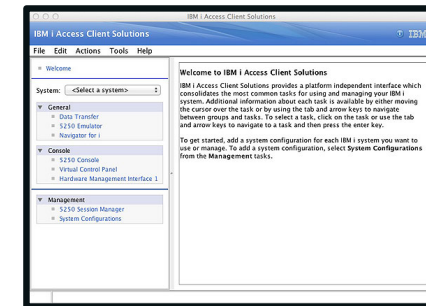
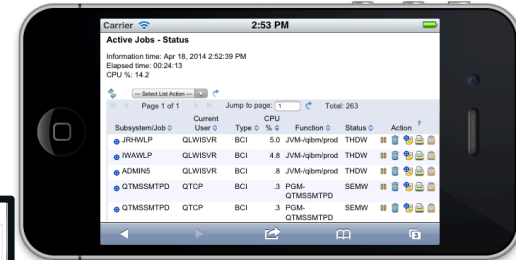


Java & Web +  
Server Jobs

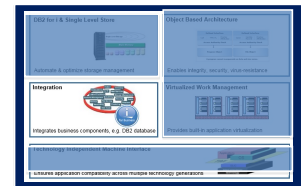
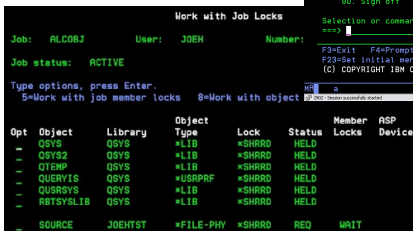
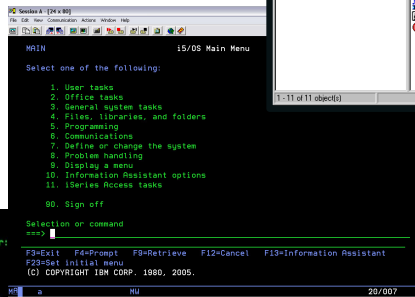
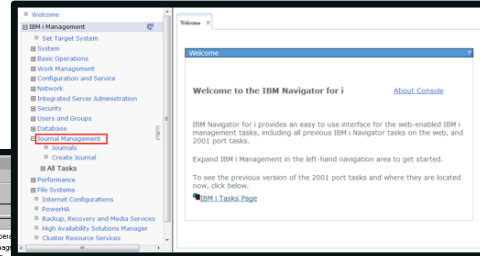
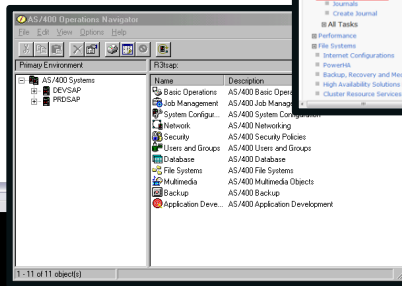
GUI  
Emulated  
5250

Emulated  
5250

5250



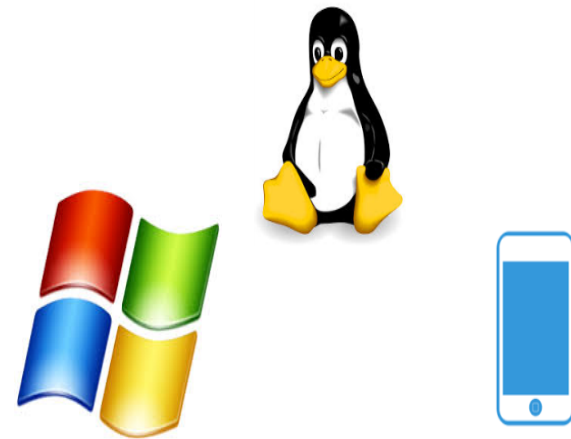
Java, Mobile, BYOD  
SQL Services



# IBM i Access and Management



Limited to  
Windows  
Install



Any Device



# Some things I'll just mention

---



- Development Tools
- National Language Support
- Display & Print
- LDAP
- Object Signing
- Enterprise Identity Mapping
- Scaling
- Nodal Affinity
- Independent ASPs
- Logical Partitioning
- N-2 Support
- Technology Refreshes
- Evolution to Waiting Servers
- Integration of Open Source

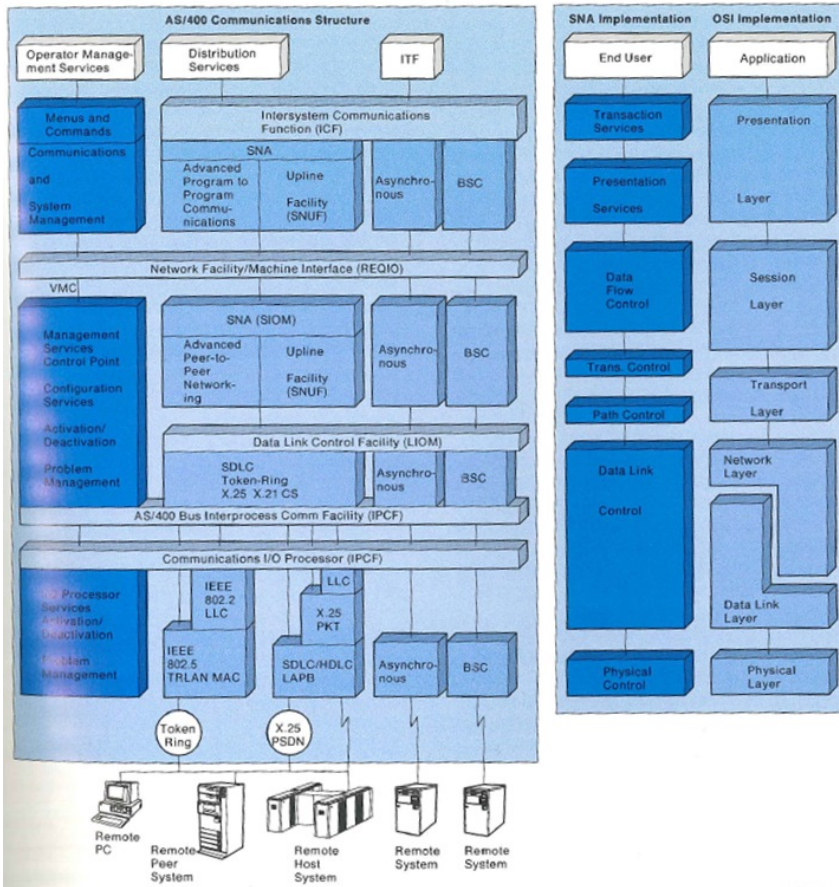
## And things I'll mention for a particular point

---



- Storage Technology
  - From 520-byte **proprietary**, to 512-byte commodity, to SAN
- I/O
  - During the CISC-to-RISC transition, the move from **IOP-based I/O** to IOA-based I/O was happening
- Networking
  - O. M. G. Does anyone remember **SNA**? Used to be interwoven. I mean, look at this:

# AS/400 Integrated Data Communications



- You can't read that, can you?
- Let's take a closer look at part of it.

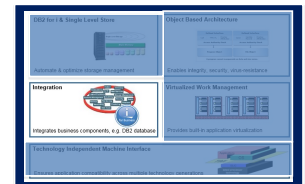
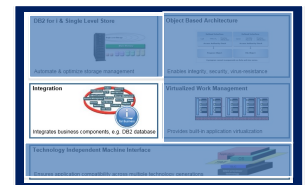
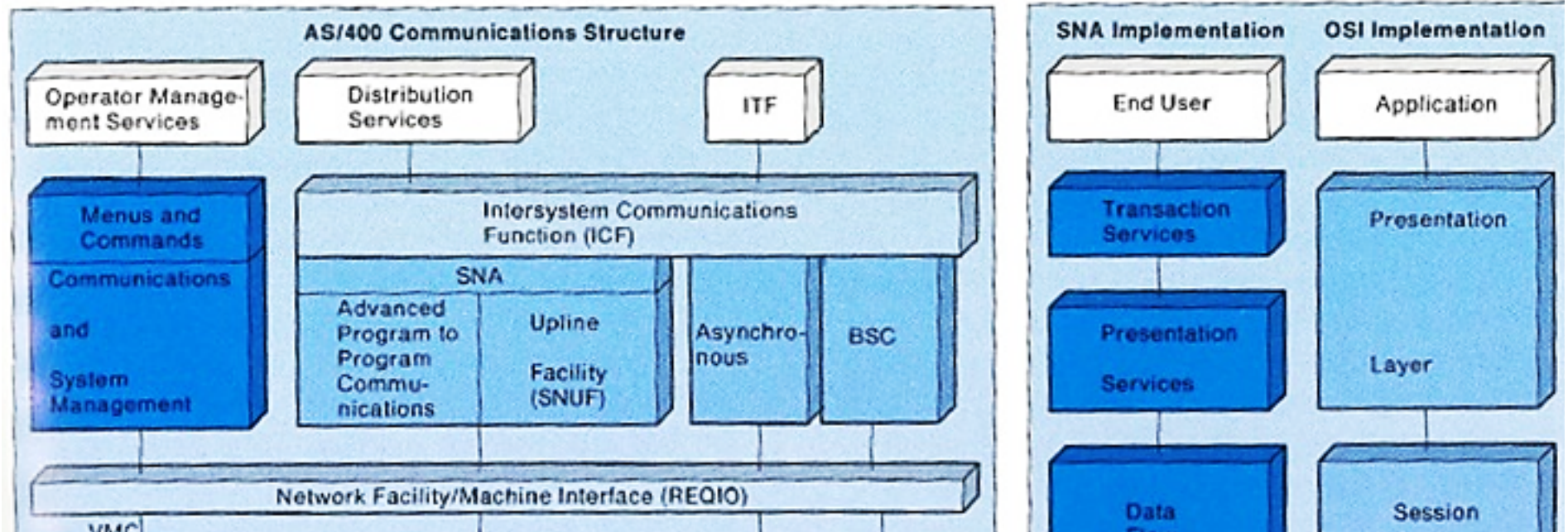


Figure 3 Components of AS/400 Data Communications as Related to SNA and OSI Implementations

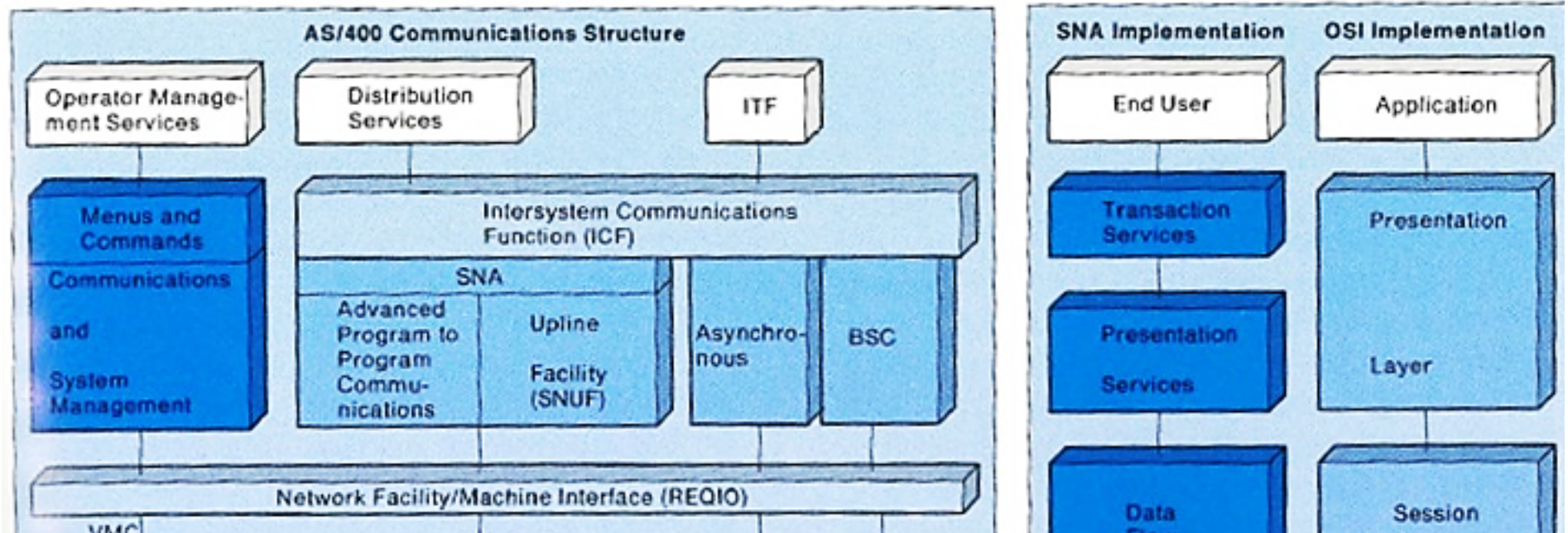
RSLL311-4

# AS/400 Integrated Data Communications

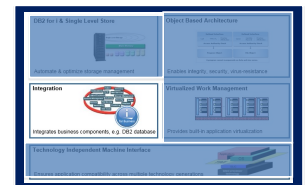




# AS/400 Integrated Data Communications



No TCP/IP in sight.  
The REQIO TIMI instruction survived.







## And things I'll mention for a particular point

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- I/O
  - During the CISC-to-RISC transition, the move from **IOP-based I/O** to IOA-based I/O was happening
- Networking
  - O. M. G. Does anyone remember **SNA**? Used to be interwoven. I mean, look at this:

**Major pieces of the architecture of S/38 & AS/400:  
Gone or transformed to be nearly unrecognizable.**



---

What  
now?





# Continuous Availability

## What is the API economy?

The API economy is your opportunity to disrupt business as usual—the chance to rethink business models and reach new audiences. It's the new way to deliver digital services to employees, partners and consumers, so you can:

### Unlock efficiencies.



**1.6M** applications are now in the Google Play Store.\*

Rise above the crowd. Create smart applications that connect with back-end data for new value.

### Drive innovation.



**70%** of US organizations are actively using APIs, according to IDC.<sup>†</sup>

Make an impression. Build stronger customer relationships based on rich user experiences.

### Reveal new market opportunities.



**1.8x** is how much more likely Generation D (data rich, analytics driven) enterprises are to use API-based services.<sup>‡</sup>

Satisfy real needs. Innovate at the speed of thought, connecting with partners around the world.



**Let's start disrupting together.**

Find out how you can get started in the API economy by visiting: [ibm.com/apieconomy](http://ibm.com/apieconomy)

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\* "Number of available applications in the Google Play Store from October 2009 to July 2015." Statista, September 2015.  
† "The Mobile Application Connected in a Connected Economy World." IDC, March 18, 2015.  
‡ "Inside the Mind of Generation D." IBM Center for Applied Insights, October 2014.

# IBM Db2 Mirror for i



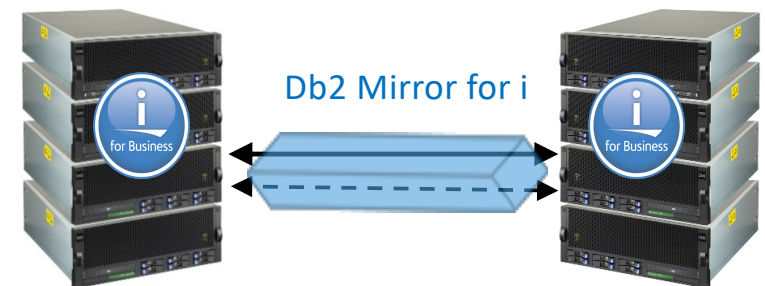
Operating System Synchronous Replication

Continuous Availability

24 x 7 Up Time

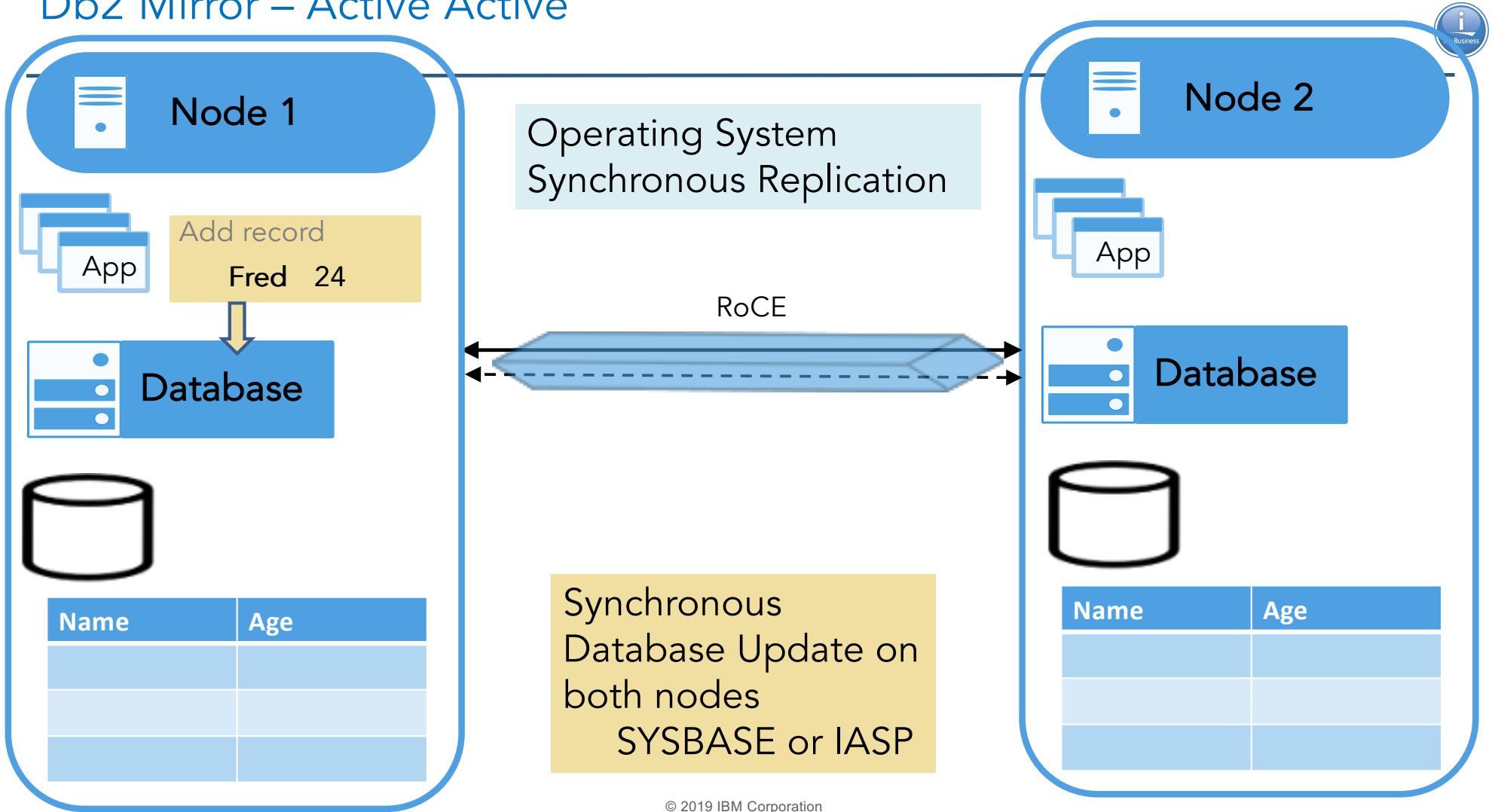
Rolling Upgrades

RTO/RPO Near Zero

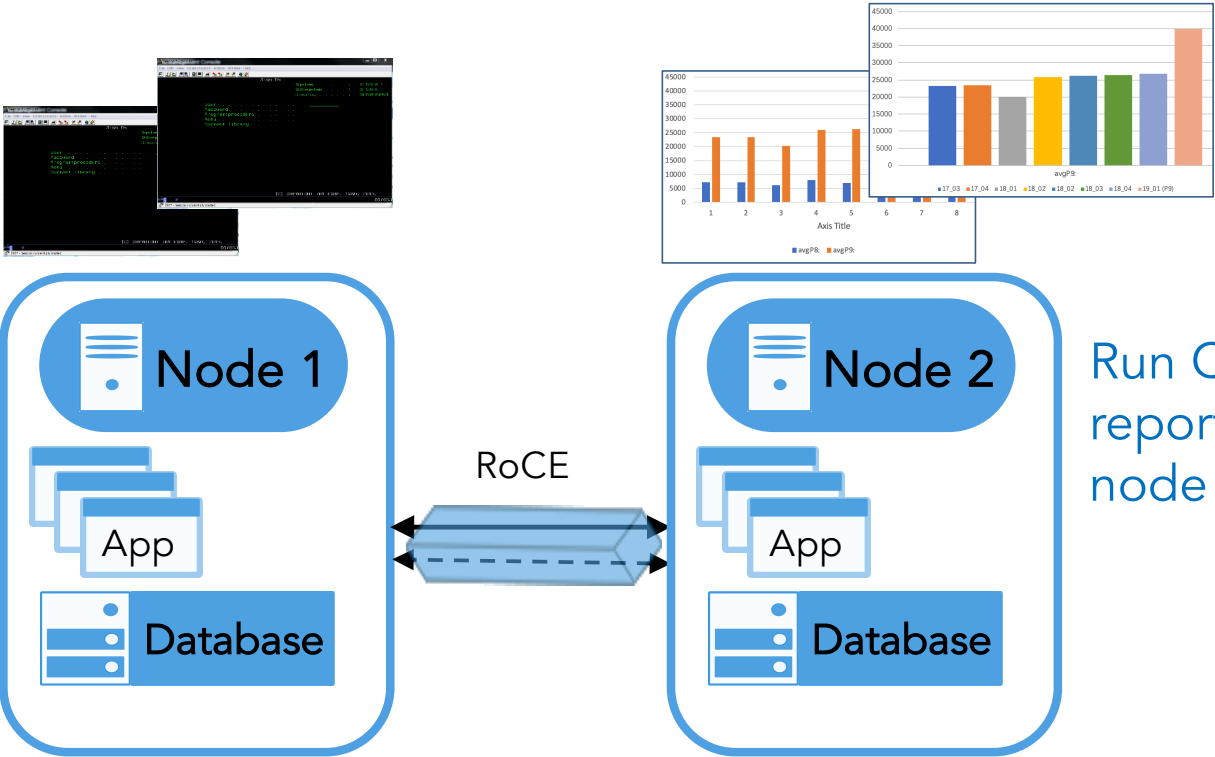


POWER8 or later & IBM i 7.4

# Db2 Mirror – Active Active



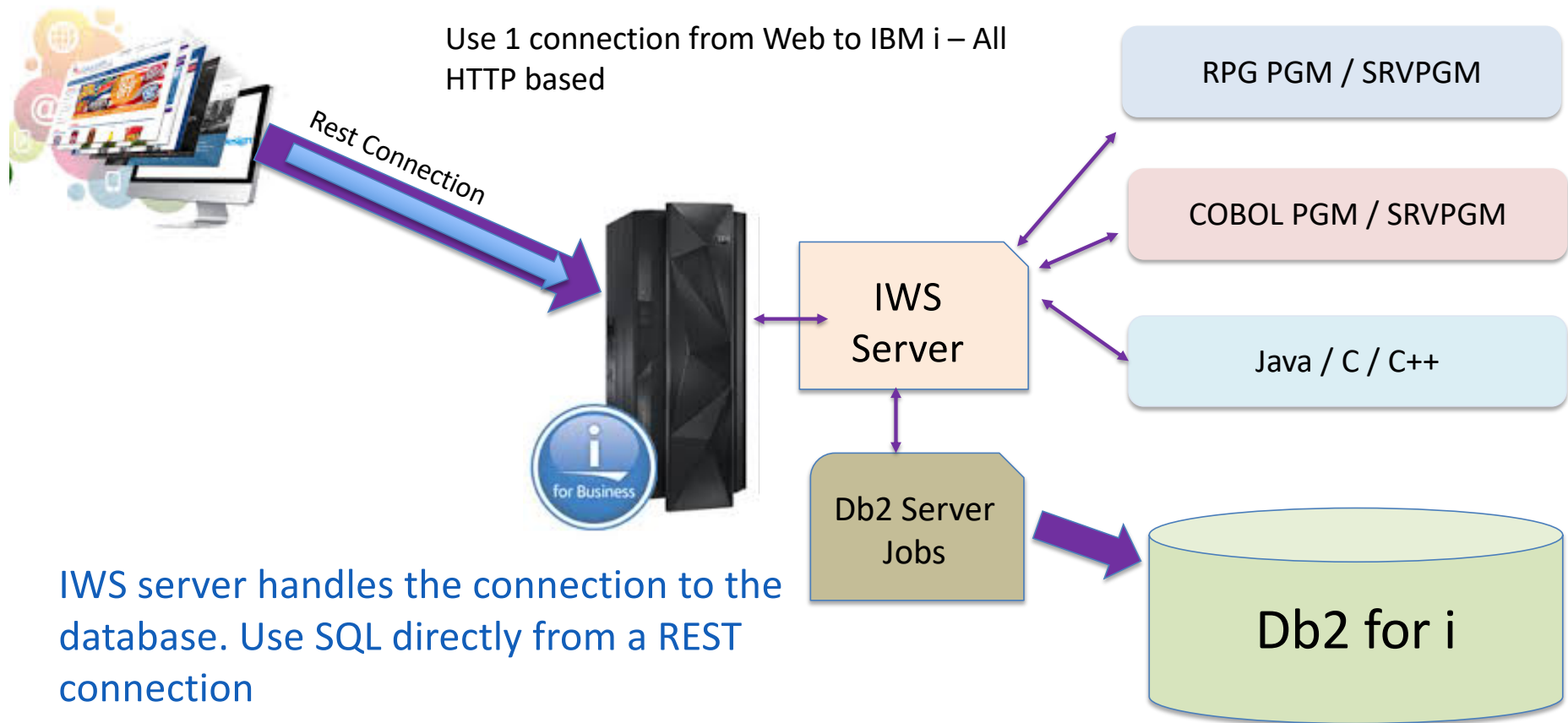
# Db2 Mirror – Active Passive



Run Production Workloads on this node

Run Queries and reports on this node

# IBM i in the “Services” Era





**LET ME EXPLAIN...**





**LET ME EXPLAIN...**

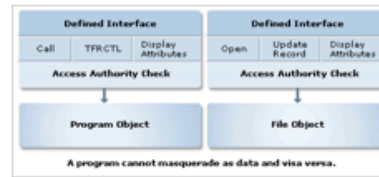
**NO, THERE IS TOO MUCH. LET ME SUM UP.**



### DB2 for i & Single Level Storage



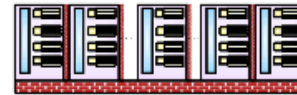
### Security & Integrity - Object Based



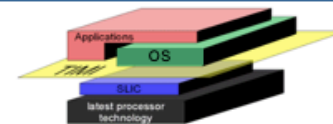
### Integration & PASE



### Multi-Workload Virtualization



### Technology Independent Machine Interface



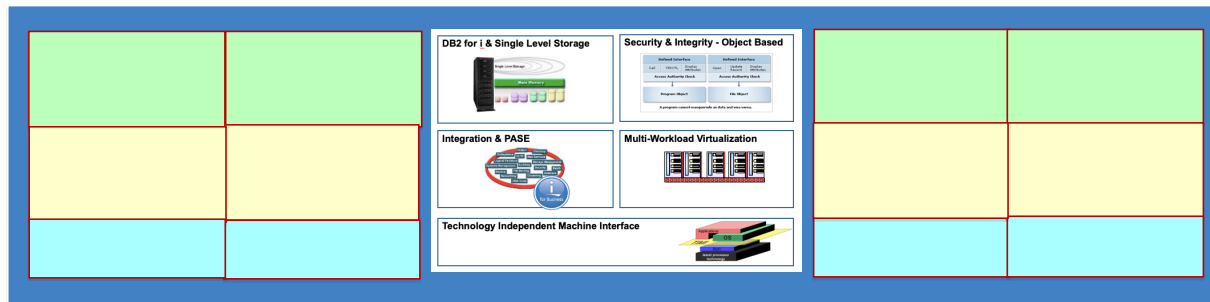








<b>DB2 for i &amp; Single Level Storage</b> 	<b>Security &amp; Integrity - Object Based</b> 
<b>Integration &amp; PASE</b> 	<b>Multi-Workload Virtualization</b> 
<b>Technology Independent Machine Interface</b> 	





IBM i - "Technology will change and IBM i is built to change with it"

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[Link](#)





**ithankyou**